

# Complaints Process Exit Survey Public Report

Reporting Period March 2018 to February 2019

Prepared by



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# INTRODUCTION

The College of Dental Surgeons of British Columbia commissioned Pivotal Research Inc. to develop and administer a complaints process exit survey for complainants and registrants once their file has been closed. Feedback is received via two surveys: one for registrants and another for complainants (see Appendix A). Both surveys can be completed online at <u>www.pivotalresearch.ca/cdsbc</u> although complainants have the option to complete a paper survey and return it to Pivotal Research in a postage-paid business reply envelope.

To complete the online survey participants require a unique access code to log in. The access code differentiates the survey version viewed by participants (registrant or complainant), identifies the complaint outcome and the month their file was closed. All paper surveys provided to complainants also included an access code. Access codes are provided each month to College staff who then distributes them to complainants and registrants upon closure of a complaint file.

This annual report includes results from surveys distributed between March 2018 and February 2019. Surveys returned by March 31, 2019, are included in this report. Semiannual reports over the course of the previous 12 months were provided to the College.

### Annual Participation

Overall, 22 complainants and 13 registrants completed the exit survey.

It is important to note that the overall number of completed surveys is small. As such, results are directional and statistical comparisons are not conducted.

Table 1 shows the number of responses based on the complaint outcome.

#### Table 1. Number of responses by complaint outcome

Complaint Outcome	Number of complainants	Number of registrants
Dismissal	7	8
Letter of Agreement (Undertaking without monitoring)	5	4
Memorandum of Acknowledgement and Undertaking (Undertaking with monitoring)	10	1
TOTAL	22	13

#### **Complaint Resolution Options**

CDSBC investigates all complaints related to the conduct or competence of its registrants:

- Dismissal refers to complaints that are closed with no action taken, or with some recommendations/best practice advice.
- Letter of Agreement (LOA) and Memorandum of Acknowledgement and Undertaking (MAU) both refer to complaints that are closed with the registrant signing an agreement (or "undertaking") to take certain steps to improve their practice. The difference is that MAU undertakings also require monitoring by CDSBC.

More information about CDSBC's complaints process is available on the College's website.

# GENERAL OBSERVATIONS

Following are general observations drawn from the results.

#### Complainant Survey

Complainants are in agreement that their complaint was handled with courtesy and respect. Similar to previous years, complainants tend to disagree that their complaint was handled in a timely manner.

Results show that those whose complaints are dismissed are more likely to display disagreement with the various aspects of the complaint process including fairness, timeliness, and thoroughness of the process while those whose complaint resulted in a letter of agreement or a memorandum of acknowledgement and undertaking with the registrant only indicate dissatisfaction with the timeliness of the process.

Complainants are generally in agreement with CDSBC's communications practices regardless of the outcome of their complaint. Complainants are likely to recommend to someone they know to proceed with a complaint to CDSBC should they have a concern about their dentist, dental therapist, or certified dental assistant.

#### **Registrant Survey**

Registrants who completed the survey in general tend to strongly agree with how the process is conducted in terms of fairness, courtesy, timeliness of the process, and thoroughness.

Registrants show agreement with the way CDSBC communicates with them during the investigation and resolution process.

Outcomes appear to match the expectations of registrants for the most part. As such, they are satisfied with the process that follows to investigate their complaint.

## RESULTS

The results are organized by survey (complainant and registrant) and by question item where questions are presented in the same order as viewed by survey participants. The results of closed ended items are displayed in tables and graphics while free text comments are provided verbatim [deleted due to confidentiality reasons].

## COMPLAINANT

#### Reason for filing a complaint

Complaints revolve around three themes. They are:

- Patient interaction;
- Perceived clinical competency; and
- Practice/billing.

#### Process

Complainants are more likely to agree that their complaint was dealt with fairly, thoroughly and respectfully. However, they are more likely to disagree that the complaint was dealt with in a timely manner. All complainants are in agreement that their complaint was processed in a courteous manner.

# With regard to the way that CDSBC dealt with your complaint, please indicate your agreement or disagreement with the following statements.

Table 2. My complaint was dealt with...

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	7	5	6	3	1
In a timely manner	5	2	4	11	-
Courteously	12	8	-	-	1
Thoroughly	8	5	3	4	2
Respectfully	11	6	-	3	1

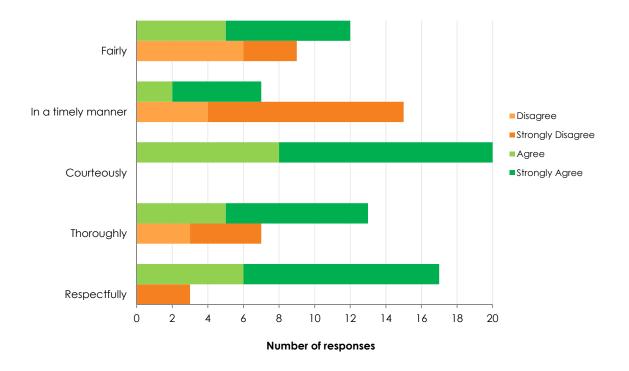


Figure 1. My complaint was dealt with...

Results show that those whose complaints are dismissed are generally in agreement with the fairness and the thoroughness of the process as well as the courtesy shown by the College. They are more likely to disagree that their complaint is dealt with in a timely manner.

Similarly, those whose complaint resulted in a letter of agreement or a memorandum of acknowledgement and undertaking with the registrant are also more likely to disagree that their complaint was dealt in a timely manner.

Resolution = Dismissal	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	2	1	3	1	-
In a timely manner	2	-	2	3	-
Courteously	4	1	-	-	1
Thoroughly	2	1	1	2	1
Respectfully	4	1	-	-	1

#### Table 3. My complaint was dealt with...Dismissal (n=7)

Resolution = LOA + MAU	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	5	4	3	2	1
In a timely manner	3	2	2	8	-
Courteously	8	7	-	-	-
Thoroughly	6	4	2	2	1
Respectfully	7	5	-	3	-

 Table 4. My complaint was dealt with...Letter of Agreement + Memorandum of Acknowledgement and Undertaking (n=15)

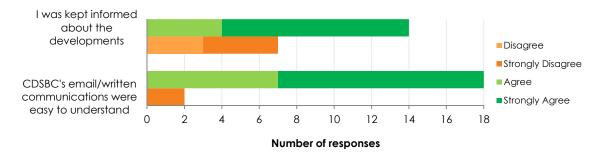
## Communication

Complainants are generally in agreement with CDSBC's communications practices in terms of the ease of email and written correspondence. Complainants are less in agreement with how the College keeps them informed about developments pertaining to their complaint.

Table 5. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
I was kept informed about the developments	10	4	3	4	-
CDSBC's email/written communications were easy to understand	11	7	-	2	1

Figure 2. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.



### Expectations and Satisfaction

About one-half of those who file a complaint do not reach the outcome (n = 10) they fully expected at the beginning of the process. Similarly, in terms of satisfaction with the process during the investigation, one-half are dissatisfied with the process.

Table 6. Thinking about the outcome of your complaint, was it what you expected?

	Number of responses
Yes	6
Somewhat	6
No	10

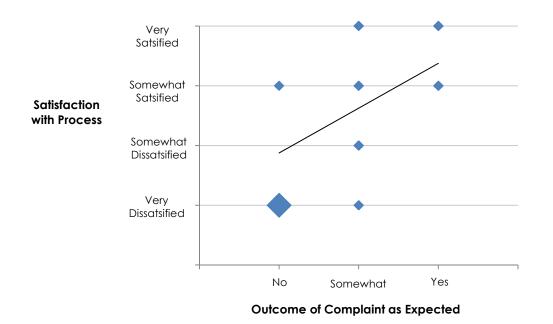
Table 7. How satisfied are you with the process followed to investigate your complaint?

	Number of responses
Very Satisfied	6
Somewhat Satisfied	5
Somewhat Dissatisfied	1
Very Dissatisfied	10

Figure 3 correlates the results for the previous two questions to assess the relationship, if any, between expectation of an outcome and satisfaction with the process.

When the outcome does not meet expectations, complainants are almost always dissatisfied and when the outcome meets expectations, complainants indicate positive satisfaction.

The size of the diamond in the graph corresponds to the number of observations. The trend line indicates a linear positive relationship between the two components.



#### Figure 3. Complainants expected outcome and satisfaction with investigative process

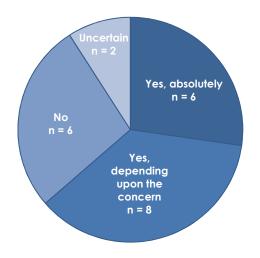
#### Recommendation

Almost two-thirds of complainants would either absolutely (n = 6) or depending on the situation (n = 8) recommend to someone they know to proceed with filing a complaint to CDSBC should they have a concern about their dentist, dental therapist, or certified dental assistant.

Table 8. If someone you knew had a concern about their dentist, dental therapist or certified dental assistant, would you recommend that s/he make a complaint about that practitioner to CDSBC?

	Number of responses
Yes, absolutely	6
Yes, depending upon the concern	8
No	6
Uncertain	2

Figure 4. Recommendation of CDSBC to others



## REGISTRANT

#### Process

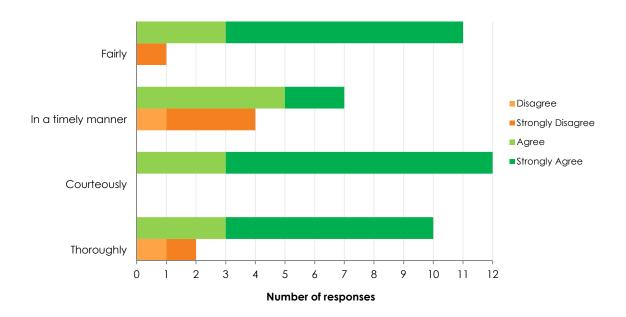
In general, registrants tend to strongly agree with how the process is conducted in terms of fairness, courtesy, and thoroughness. However, they are more likely to disagree with the timeliness.

# With regard to the way that CDSBC dealt with the complaint against you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	8	3	-	1	-
In a timely manner	2	5	1	3	1
Courteously	9	3	-	-	-
Thoroughly	7	3	1	1	-

Table 9. My complaint was dealt with...





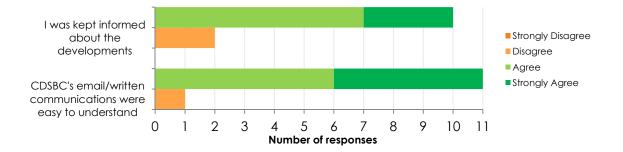
### Communication and Interaction

Registrants tend to agree with the way CDSBC keeps them informed about developments pertaining to the complaint and with the ease to understand email and written communications during the investigation and resolution process.

Table 10. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
I was kept informed about the developments	3	7	2	-	1
CDSBC's email/written communications were easy to understand	5	6	1	-	-

Figure 6. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.



And when interaction is required, registrants agree that CDSBC treats them with respect whether the interaction takes place through in-person meetings, on the phone or via email or written communication.

#### Table 11. I was treated with respect...

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain	Not applicable
At in-person meetings	1	1	1	-	-	7
Via email or written communication	8	4	-	-	-	-
On the telephone	7	1	1	-	-	3

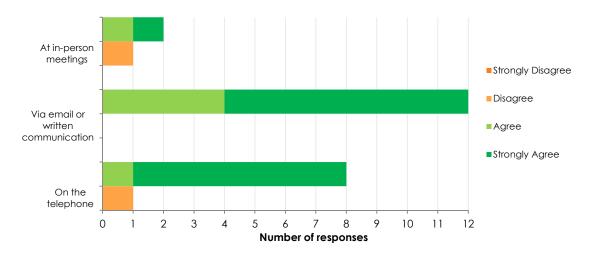


Figure 7. I was treated with respect...

## Expectations and Satisfaction

Outcomes appear to match the expectations of registrants for the most part. As such, they are likely to be satisfied with the process that follows to investigate the complaint.

Table 12. Thinking about the outcome of your complaint, was it what	vou ovpoctod?
Tuble 12. Initiking about the oblocitie of your complaint, was it what	you expecieu:

	Number of responses
Yes	10
Somewhat	2
No	1

	Number of responses
Very Satisfied	6
Somewhat Satisfied	5
Somewhat Dissatisfied	1
Very Dissatisfied	1

Figure 8 correlates the results for the previous two questions. Generally, the results appear to show that registrants are almost always satisfied so long as the outcome matches their expectations. One exception is one registrant who is very satisfied with the process even though the outcome of the process did not match his or her original expectations.

The size of the diamond in the graph corresponds to the number of observations. The trend line indicates a linear relationship between the two components.

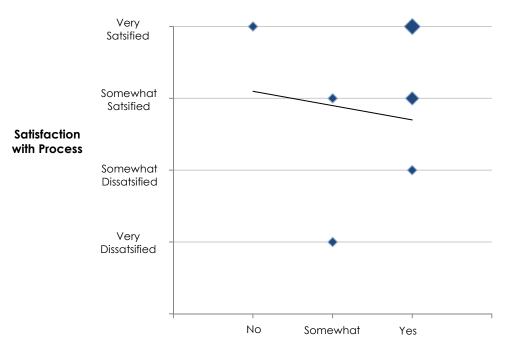


Figure 8. Registrants expected outcome and satisfaction with investigative process

Outcome of Complaint as Expected

# APPENDIX A. SURVEY INSTRUMENTS



# Complaints Process Exit Survey

Welcome to CDSBC's Online Complaints Process Exit Survey CDSBC commissioned Pivotal Research Inc., an independent research firm, to gather feedback and report group data only. They will ensure your responses are anonymous.

Your feedback will be used to assess and improve CDSBC's complaint investigation process. If you have any questions about the collection and use of this information, contact . If you encounter any technical difficulties email .

Please enter your access code below. Your access code is case sensitive.

Page Break

Survey Instructions

Use the navigation buttons below to proceed through the survey. Do not use your browser's back button.

The survey should take you 5 to 10 minutes to complete.

If you require technical assistance send an email with your access code to feedback@pivotalresearch.ca.

Page Break

## COMPLAINANT SURVEY

The College of Dental Surgeons of British Columbia is committed to maintaining a fair and transparent complaints process. The purpose of this survey is to give you a chance to comment on how your complaint was handled by CDSBC.

What did you hope to achieve by filing a complaint?

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With regard to the way that CDSBC dealt with your complaint, please indicate your agreement or disagreement with the following statements.

My complaint was dealt with:

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
In a timely manner	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
Courteously	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
Thoroughly	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
Respectfully	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$

With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
l was kept informed about developments	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
CSDBC's email/written communications were easy to understand	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$

Thinking about the outcome of your complaint, was it what you expected?

🔘 Yes

- O Somewhat
- 🔿 No

How satisfied are you with the process followed to investigate your complaint?

Very Satisfied

- O Somewhat Satisfied
- Somewhat Dissatisfied
- O Very Dissatisfied

Page Break

If someone you knew had a concern about their dentist, dental therapist or certified dental assistant, would you recommend that s/he make a complaint about that practitioner to CDSBC?

- Yes, absolutely
- Yes, depending upon the concern
- 🔘 No
- 🔘 Uncertain

Given your experience, what could CDSBC do to improve the complaint process?

Please share any comments about the CDSBC Complaint Investigator who looked after your file.

## **REGISTRANT SURVEY**

The College of Dental Surgeons of British Columbia is committed to maintaining a fair and transparent complaints process. The purpose of this survey is to give you a chance to comment on CDSBC's handling of the complaint that was made about you.

With regard to the way that CDSBC dealt with the complaint against you, please indicate your agreement or disagreement with the following statements.

My complaint was dealt with:

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
In a timely manner	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	0
Courteously	$\bigcirc$	$\bigcirc$	$\bigcirc$	0	$\bigcirc$
Thoroughly	0	$\bigcirc$	$\bigcirc$	$\bigcirc$	0

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With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagr		ongly agree l	Uncertain
l was kept informed about developments	$\bigcirc$	$\bigcirc$	$\bigcirc$	(	C	$\bigcirc$
CDSBC's email/written communications were easy to understand	0	$\bigcirc$	0	(	С	$\bigcirc$
I was treated with respect:						
	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncerta	Not appli ain cable
At in-person meetings	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
Via email or written communication	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$
On the telephone	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$	$\bigcirc$

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Thinking about the outcome of the complaint, was it what you expected?

🔿 Yes

Somewhat

🔘 No

How satisfied are you with the process followed to investigate the complaint?

O Very Satisfied

O Somewhat Satisfied

O Somewhat Dissatsified

O Very Dissatisfied

Given your experience, what could CDSBC do to improve the complaint process?

Page Break

Thank you for your valuable feedback. The survey is now complete. Please click the submit button to send your feedback.



# **Complaints Process Exit Survey**

The College of Dental Surgeons of British Columbia is committed to maintaining a fair and transparent complaints process. The purpose of this survey is to give you a chance to comment on how your complaint was handled by CDSBC.

CDSBC commissioned Pivotal Research Inc., an independent research firm, to gather feedback and report group data only. They will ensure your responses are anonymous.

We encourage you to complete the survey online. Go to: www.pivotalresearch.ca/cdsbc

and insert your access code:

Alternatively, you can return the paper survey in the postage-paid reply envelope.

Your feedback will be used to assess and improve CDSBC's complaint investigation process. If you have any questions about the collection and use of this information, contact communications@cdsbc.org. If you encounter technical difficulties email feedback@pivotalresearch.ca.

1. What did you hope to achieve by filing a complaint?

2. With regard to the way that CDSBC dealt with your complaint, please indicate your agreement or disagreement with the following statements.

My complaint was	dealt with:
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	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	0	0	0	0	0
In a timely manner	0	0	0	0	0
Courteously	0	0	0	0	0
Thoroughly	0	0	0	0	0
Respectfully	0	0	0	0	0





3. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly			Strongly	
	Agree	Agree	Disagree	Disagree	Uncertain
I was kept informed about developments	0	0	0	0	0
CDSBC's email/written communications were easy to understand	0	0	0	0	0

- 4. Thinking about the outcome of your complaint, was it what you expected? O Yes O Somewhat O No
- 5. How satisfied are you with the process followed to investigate your complaint? O Very Satisfied O Somewhat Satisfied O Somewhat Dissatisfied O Very Dissatisfied
- 6. If someone you knew had a concern about their dentist, dental therapist or certified dental assistant, would you recommend that s/he make a complaint about the practitioner to CDSBC?

O Yes, absolutely O Yes, depending upon the concern O No O Uncertain

7. Given your experience, what could CDSBC do to improve the complaint process?

8. Please share any comments about the CDSBC Complaint Investigator who looked after your file.



Thank you for your feedback. It is appreciated.

