

# Complaints Process Exit Survey Public Report

Reporting Period

March 2017 to February 2018

Prepared by



# TABLE OF CONTENTS

INTRODUCTION	1
GENERAL OBSERVATIONS	2
RESULTS	3
COMPLAINANT	3
registrant	9
APPENDIX A. SURVEY INSTRUMENTS	13

i | Page

# INTRODUCTION

The College of Dental Surgeons of British Columbia commissioned Pivotal Research Inc. to develop and administer a complaints process exit survey for complainants and registrants once their file has been closed. Feedback is received via two surveys: one for registrants and another for complainants (see Appendix A). Both surveys can be completed online at <a href="https://www.pivotalresearch.ca/cdsbc">www.pivotalresearch.ca/cdsbc</a> although complainants have the option to complete a paper survey and return it to Pivotal Research in a postage-paid business reply envelope.

To complete the online survey participants require a unique access code to log in. The access code differentiates the survey version viewed by participants (registrant or complainant), identifies the complaint outcome and the month their file was closed. All paper surveys provided to complainants also included an access code. Access codes are provided each month to College staff who then distributes them to complainants and registrants upon closure of a complaint file.

This annual report includes results from surveys distributed between March 2017 and February 2018. Surveys returned by March 31, 2018, are included in this report. Quarterly reports over the course of the previous 12 months were provided to the College at the end of each quarter.

### **Annual Participation**

Overall, 16 complainants and 7 registrants completed the exit survey.

It is important to note that the overall number of completed surveys is small. As such, results are directional and statistical comparisons are not conducted.

Table 1 shows the number of responses based on the complaint outcome.

Table 1. Number of responses by complaint outcome

Complaint Outcome	Number of complainants	Number of registrants
Dismissal	7	4
Letter of Agreement (Undertaking without monitoring)	2	1
Memorandum of Agreement/Understanding (Undertaking with monitoring)	7	2
TOTAL	16	7

# Complaint Resolution Options

CDSBC investigates all complaints related to the conduct or competence of its registrants:

- Dismissal refers to complaints that are closed with no action taken, or with some recommendations/best practice advice.
- Letter of Agreement (LOA) and Memorandum of Agreement/Understanding (MOU) both refer to complaints that are closed with the registrant signing an agreement (or "undertaking") to take certain steps to improve their practice. The difference is that MOU undertakings also require monitoring by the CDSBC.

More information about CDSBC's complaints process is available on the College's website.

#### GENERAL OBSERVATIONS

Following are general observations drawn from the results.

#### Complainant Survey

Complainants are equally split between those who agree and disagree with how the process is conducted in terms of fairness and thoroughness. Complainants are more likely to agree that their complaint was handled with courtesy and respect. Similar to last year, complainants are more likely to disagree that their complaint was handled in a timely manner

Results show that those whose complaints are dismissed are more likely to display disagreement with the fairness, timeliness, and thoroughness of the resolution process while those whose complaint resulted in a letter of agreement or a memorandum of agreement/understanding with the registrant are mostly dissatisfied with the timeliness of the process.

Complainants are generally in agreement with CDSBC's communications practices. However, those whose cases are dismissed are more likely to disagree about being informed about developments pertaining to their case. In comparison, those whose cases resulted in a letter of agreement or memorandum are more likely to agree about being kept informed about their case.

Complainants are about as likely as unlikely to recommend to someone they know to proceed with a complaint to CDSBC should they have a concern about their dentist, dental therapist, or certified dental assistant.

#### Registrant Survey

Registrants who complete the survey tend to strongly agree in general with how the process is conducted in terms of fairness, courtesy, timeliness of the process, and thoroughness.

There is little difference when it comes to the type of outcome from the registrant perspective (dismissal, LOA or MOU) from an overall agreement perspective.

Registrants show agreement with the way CDSBC communicates with them during the investigation and resolution process.

Outcomes appear to match the expectations of registrants for the most part. As such, they are satisfied with the process that follows to investigate the complaint.

# **RESULTS**

The results are organized by survey (complainant and registrant) and by question item where questions are presented in the same order as viewed by survey participants. The results of closed ended items are displayed in tables and graphics while free text comments are provided verbatim [deleted due to confidentiality reasons].

# **COMPLAINANT**

# Reason for filing a complaint

Complaints revolve around three themes. They are:

- Patient interaction;
- Perceived clinical competency; and
- Practice/billing.

#### **Process**

Complainants are equally split between those who agree and disagree with how the process is conducted in terms of fairness and thoroughness. Complainants are more likely to agree that their complaint was handled with courtesy and respect. Complainants are more likely to disagree that their complaint was handled in a timely manner

With regard to the way that CDSBC dealt with your complaint, please indicate your agreement or disagreement with the following statements.

Table 2. My complaint was dealt with...

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	4	2	3	6	1
In a timely manner	2	3	-	9	2
Courteously	7	5	-	4	-
Thoroughly	5	1	2	7	1
Respectfully	7	4	1	4	-

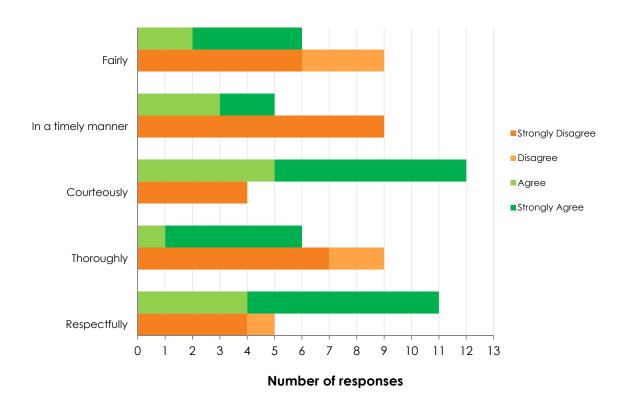


Figure 1. My complaint was dealt with...

Results show that those whose complaints are dismissed are more likely to display disagreement with the fairness, timeliness, and thoroughness of the resolution process while those whose complaint resulted in a letter of agreement or a memorandum of agreement/understanding with the registrant are mostly dissatisfied with the timeliness of the process.

Table 3. My complaint was dealt with...Dismissal (n=7)

Resolution = Dismissal	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	1	-	2	4	-
In a timely manner	-	2	-	4	1
Courteously	2	3	-	2	-
Thoroughly	1	-	2	4	-
Respectfully	2	2	1	2	-

Table 4. My complaint was dealt with...Letter of Agreement + Memorandum of Agreement/Understanding (n=9)

Resolution = LOA + MOU	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	3	2	1	2	1
In a timely manner	2	1	-	5	1
Courteously	5	2	-	2	-
Thoroughly	4	1	-	3	1
Respectfully	5	2	-	2	-

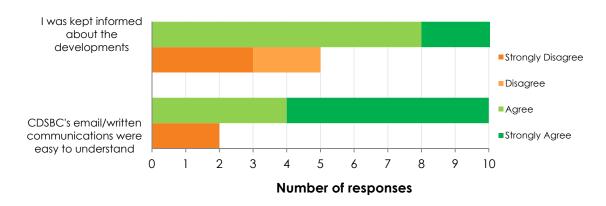
#### Communication

Complainants are generally in agreement with CDSBC's communications practices. However, those whose cases are dismissed are more likely to disagree about being informed about developments pertaining to their case. In comparison, those whose cases resulted in a letter of agreement or memorandum are more likely to agree about being kept informed about their case.

Table 5. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
I was kept informed about the developments	6	4	2	3	1
CDSBC's email/written communications were easy to understand	6	8	-	2	-

Figure 2. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.



## **Expectations and Satisfaction**

One third of those who file a complaint reach the outcome they fully expected at the beginning of the process. However, in terms of satisfaction for the process following the investigation, the results are almost equally split between satisfied and dissatisfied.

Table 6. Thinking about the outcome of your complaint, was it what you expected?

	Number of responses
Yes	5
Somewhat	5
No	6

Table 7. How satisfied are you with the process followed to investigate your complaint?

	Number of responses
Very Satisfied	6
Somewhat Satisfied	2
Somewhat Dissatisfied	1
Very Dissatisfied	7

Figure 3 correlates the results for the previous two questions to assess the relationship, if any, between expectation of an outcome and satisfaction with the process.

The results appear to show a trend where the outcome of the complaint positively correlates with satisfaction with the process. When the outcome does not meet expectations, complainants are likely to be dissatisfied and when the outcome meets expectations, complainants indicate higher satisfaction.

The size of the diamond in the graph corresponds to the number of observations. The trend line indicates a linear positive relationship between the two components.

Satisfied

Satisfied

Satisfied

Satisfied

Somewhat Dissatsified

Very Dissatsified

No Somewhat Yes

Figure 3. Complainants expected outcome and satisfaction with investigative process

#### **Outcome of Complaint as Expected**

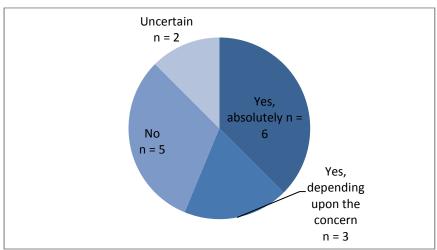
#### Recommendation

Complainants are about as likely as unlikely to recommend to someone they know to proceed with a complaint to CDSBC should they have a concern about their dentist, dental therapist, or certified dental assistant.

Table 8. If someone you knew had a concern about their dentist, dental therapist or certified dental assistant, would you recommend that s/he make a complaint about that practitioner to CDSBC?

	Number of responses
Yes, absolutely	6
Yes, depending upon the concern	3
No	5
Uncertain	2





# **REGISTRANT**

#### **Process**

Registrants who complete the survey tend to strongly agree in general with how the process is conducted in terms of fairness, courtesy, and thoroughness. However, they are more likely to strongly disagree with the timeliness.

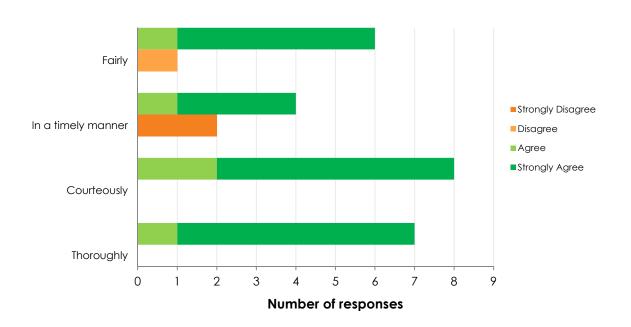
There is little difference according to the type of outcome from the registrant perspective (dismissal, LOA or MOU).

With regard to the way that CDSBC dealt with the complaint against you, please indicate your agreement or disagreement with the following statements.

Table 9. My complaint was dealt with...

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	5	1	1	-	-
In a timely manner	3	2	-	2	÷
Courteously	6	1	-	-	-
Thoroughly	6	1	-	-	-

Figure 5. My complaint was dealt with...



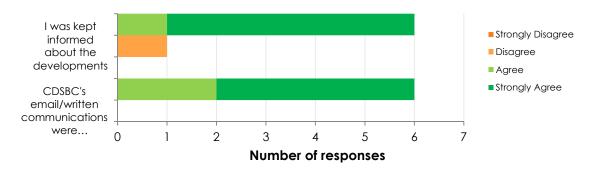
#### Communication and Interaction

Registrants show agreement with the way CDSBC communicates with them during the investigation and resolution process.

Table 10. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
I was kept informed about the developments	5	1	1	-	-
CDSBC's email/written communications were easy to understand	4	2	-	-	-

Figure 6. With regard to the way that CDSBC communicated with you, please indicate your agreement or disagreement with the following statements.



And when interaction is required, registrants agree that CDSBC treats them with respect whether the interaction takes place through in-person meetings, on the phone or via email or written communication.

Table 11. I was treated with respect...

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain	Not applicable
At in-person meetings	4	1	-	-	-	2
Via email or written communication	6	1	-	-	-	-
On the telephone	6	1	-	-	-	0

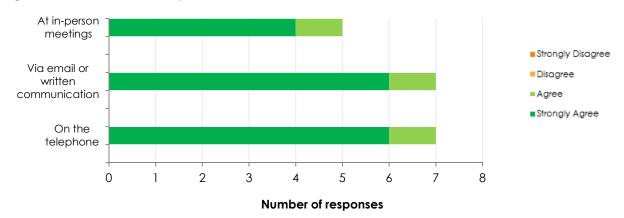


Figure 7. I was treated with respect...

# Expectations and Satisfaction

Outcomes appear to match the expectations of registrants for the most part. As such, they are likely to be satisfied with the process that follows to investigate the complaint.

Table 12. Thinking about the outcome of your complaint, was it what you expected?

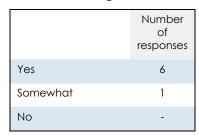


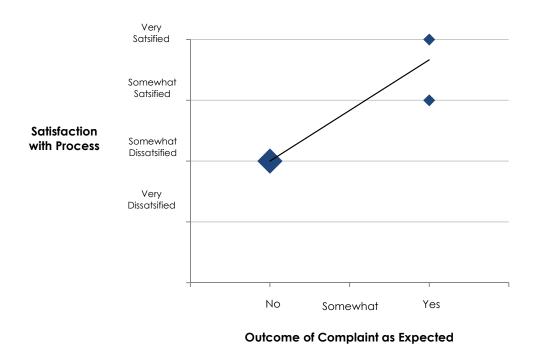
Table 13. How satisfied are you with the process followed to investigate your complaint?

	Number of responses
Very Satisfied	4
Somewhat Satisfied	2
Somewhat Dissatisfied	1
Very Dissatisfied	-

Figure 8 correlates the results for the previous two questions. Generally, the results appear to show that registrants are almost always satisfied so long as the outcome matches their expectations.

The size of the diamond in the graph corresponds to the number of observations. The trend line indicates a linear positive relationship between the two components.

Figure 8. Registrants expected outcome and satisfaction with investigative process



Registrant Results

# APPENDIX A. SURVEY INSTRUMENTS

13 | Page



# Complaints Process Exit Survey

Welcome to CDSBC's Online Complaints Process Exit Survey CDSBC commissioned Pivotal Research Inc., an independent research firm, to gather feedback and report group data only. They will ensure your responses are anonymous.

Your feedback will be used to assess and improve CDSBC's complaint investigation process. If you have any questions about the collection and use of this information, contact. If you encounter any technical difficulties email.

	e enter your a Your access code		
Page Break			

# **Survey Instructions**

Use the navigation buttons below to proceed through the survey. Do not use your browser's back button.

The survey should take you 5 to 10 minutes to complete.

If you require technical assistance send an email with your access code to feedback@pivotalresearch.ca.

Page Break

# **COMPLAINANT SURVEY**

The College of Dental Surgeons of British Columbia is committed to maintaining a fair and transparent complaints process. The purpose of this survey is to give you a chance to comment on how your complaint was handled by CDSBC.

age Break					
h regard to the way that CDS Ir agreement or disagreemen		-	-		indicate
My complaint was dealt with		TOTIOWIT	ig stateint		
	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	$\circ$	$\circ$	$\circ$		$\circ$
In a timely manner	0	0	0	0	0
Courteously	$\circ$	$\circ$	$\circ$		
Thoroughly	$\circ$		$\circ$		
Respectfully	$\circ$	$\circ$	$\circ$	$\circ$	$\circ$
h regard to the way that CDS	BC comm	unicated	with you	, please i	ndicate
ır agreement or disagreemen	t with the	followir	ng stateme		
	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
I was kept informed about developments	$\bigcirc$	$\circ$	$\bigcirc$	$\circ$	$\circ$
					$\circ$
CSDBC's email/written communications were easy to understand	0	0	0		

Thinking about the outcome of your complaint, was it what you expected?
○ Yes
O Somewhat
O No
How satisfied are you with the process followed to investigate your complaint?
O Very Satisfied
Somewhat Satisfied
Somewhat Dissatisfied
Very Dissatisfied
Page Break
If someone you knew had a concern about their dentist, dental therapist or
certified dental assistant, would you recommend that s/he make a complaint about that practitioner to CDSBC?
Yes, absolutely
Yes, depending upon the concern
O No
Uncertain
Given your experience, what could CDSBC do to improve the complaint
process?
Please share any comments about the CDSBC Complaint Investigator who
looked after your file.

# **REGISTRANT SURVEY**

Page Break

The College of Dental Surgeons of British Columbia is committed to maintaining a fair and transparent complaints process. The purpose of this survey is to give you a chance to comment on CDSBC's handling of the complaint that was made about you.

With regard to the way that CDS indicate your agreement or disa	greement			_		
My complaint was dealt with	Strongly Agree	Agree	Disagr	Stro ee Disa		Uncertain
Fairly			0			$\circ$
In a timely manner	0	0	0			0
Courteously	0	0	0			0
Thoroughly	0	0	0		)	0
With regard to the way that ( your agreement or disagreer				, , ,		ndicate
your agreement or disagreer I was kept informed about				itements Stro	s. ngly	udicate Uncertain
your agreement or disagreer	ment with Strongly	the follo	owing sta	itements Stro	s. ngly	
I was kept informed about developments  CDSBC's email/written communications were easy to understand  I was treated with respect:	ment with Strongly	the follo	owing sta	itements Stro	ngly gree	Uncertain O Not appli
I was kept informed about developments  CDSBC's email/written communications were easy to understand  I was treated with respect:  At in-person meetings	Strongly  Agree  Strongly	Agree	Disagro	Strongly	ngly gree	Uncertain O Not appli
I was kept informed about developments  CDSBC's email/written communications were easy to understand  I was treated with respect:	Strongly  Agree  Strongly	Agree	Disagro	Strongly	ngly gree	Uncertain O Not appli

Thinking about the outcome of the complaint, was it what you expected?  Yes  Somewhat  No
How satisfied are you with the process followed to investigate the complaint?  Very Satisfied  Somewhat Satisfied  Very Dissatisfied  Very Dissatisfied
Given your experience, what could CDSBC do to improve the complaint process?
Page Break

Thank you for your valuable feedback. The survey is now complete. Please click the submit button to send your feedback.



# **Complaints Process Exit Survey**

The College of Dental Surgeons of British Columbia is committed to maintaining a fair and transparent complaints process. The purpose of this survey is to give you a chance to comment on how your complaint was handled by CDSBC.

CDSBC commissioned Pivotal Research Inc., an independent research firm, to gather feedback and report group data only. They will ensure your responses are anonymous.

#### We encourage you to complete the survey online.

Go to: www.pivotalresearch.ca/cdsbc

and insert your access code:

Alternatively, you can return the paper survey in the postage-paid reply envelope.

Your feedback will be used to assess and improve CDSBC's complaint investigation process. If you have any questions about the collection and use of this information, contact communications@cdsbc.org. If you encounter technical difficulties email feedback@pivotalresearch.ca.

1.	What did you hope to achieve by filing a complaint?

2. With regard to the way that CDSBC dealt with your complaint, please indicate your agreement or disagreement with the following statements.

My complaint was dealt with:

	Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain
Fairly	0	0	0	0	0
In a timely manner	0	0	0	0	0
Courteously	0	0	0	0	0
Thoroughly	0	0	0	0	0
Respectfully	0	0	0	0	0





3.	_	•		t CDSBC communicated with you, please indicate your agreement or							agreement
	with the	following sta	atements.			Strongly Agree	Agree	Disagree	Strongly Disagree	Uncertain	
	1	was kept infor	med about de	velopments		0	0	0	0	0	
		DSBC's email/ ere easy to ur	/written comm nderstand	nunications		0	0	0	0	0	
4.	_	about the o O Somew	utcome of yo hat O No	our complair	nt, was	it what y	ou expec	ted?			
5.		-	u with the pro O Somewha			•	•	•	/ Dissatis	fied	
6.	recomm	end that s/h	had a conce e make a cor O Yes, dep	nplaint abou	ut the p	oractition	er to CDS	SBC?		tal assistar	nt, would you
7.		-	ce, what coul								
8.	Please s	hare any cor	nments abou	ut the CDSB(	C Comp	olaint Inv	estigator	who look	ed after y	our file.	



