Annual Report 2014/15

1 March 2014 to 28 February 2015















About CDSBC

The mandate of the College of Dental Surgeons of British Columbia (CDSBC) is to serve and protect the public by ensuring the ongoing competence and good conduct of its registrants. CDSBC registers, certifies and regulates more than 3,500 dentists, eight dental therapists and nearly 6,400 certified dental assistants.

CDSBC is governed by an 18-member Board that includes dentists, certified dental assistants and six public members appointed by the provincial government. There are 11 committees that concentrate on key areas of Board responsibility and help the Board carry out its work. The day-to-day operations are managed by the Registrar/CEO.



Registrants: Dentists (including certified specialists), Dental Therapists and Certified Dental Assistants

About this Report

This report describes the College's work and activities from 1 March 2014 to 28 February 2015. It highlights major accomplishments and reflects the commitment and dedication of CDSBC Board, volunteers, staff and stakeholders who devote their time and expertise to deliver on the College's duty to protect the public.



Cover photos

- 1. Dr. Adam Pite
- 2. Mr. Samson Lim, CA, and Dr. Pamela Barias
- 3. Ms. Nadine Bunting, CDA
- 4. Dr. Eli Whitney (left) and Dr. Rob Staschuk
- 5. Dr. Kenneth Chow
- 6. Dr. Peter Stevenson-Moore (left) and Dr. Ash Varma
- 7. Dr. Leetty Huang
- 8. Dr. Ben Balevi, Ms. Sherry Messenger, Ms. Melanie Crombie and Dr. Jan Versendaal
- 9. Dr. Josephine Chung

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CDSBC Strategic Plan

Mission

The College of Dental Surgeons of BC regulates dentists, dental therapists, and certified dental assistants in the public interest. It does so by establishing, monitoring, and enforcing the competent and ethical practice of dentistry, in a fair and transparent manner.

Vision

The College of Dental Surgeons of BC is a leading healthcare regulator, promoting and supporting optimal health through excellence in dentistry.

Mandate

The College of Dental Surgeons of BC:

- Establishes entry to practice, certification and registration requirements
- Establishes, monitors, and regulates standards of practice, guidelines for continuing practice and ethical requirements for all dentists, dental therapists and certified dental assistants
- Establishes and administers processes for the management of complaints and discipline

Values

The College of Dental Surgeons of BC is trusted because:

- · We act ethically, fairly and with integrity
- We are communicative and transparent
- We are objective and impartial
- We are accountable

Goals

- 1. Fulfil regulatory responsibilities in a fair, transparent and effective manner
- Continue to improve professionalism and practice standards of dentists, dental therapists and certified dental assistants
- 3. Identify and promote collaborative and productive relationships with key organizations and stakeholders
- 4. Maintain a commitment to organizational excellence

Objectives

Registration: Effective, Efficient, Electronic Routes of Entry

- CDSBC leads regulators through transparent, fair, effective and defensible registration classes, processes and procedures
- CDSBC develops, maintains and publishes clear and relevant registration pathways and requirements

Complaints Reduction and Resolution

- CDSBC has and is recognized for transparent, fair, effective and defensible complaint resolution processes and procedures
- CDSBC takes active steps to help registrants enhance the standard of care they provide
- CDSBC takes active steps to promote and enhance ethical understanding and behaviour amongst its registrants

Professional Practice

- Promote professionalism and excellence in practice
- Support and enhance understanding of professional rights and responsibilities
- Maintain and enhance opportunities for practitioners to demonstrate quality assurance and continuing competence
- CDSBC nurtures, develops and delivers a transparent, fair, effective and defensible sedation/general anaesthetic registration and inspection program
- CDSBC promotes access to dental services and care

Governance and Operations: Doing It Right

- CDSBC maintains fair, transparent and defensible fee structures that (wherever possible) recover costs
- Board, committees, registrants and staff understand the role and limitations of the College with respect to regulatory vs. advocacy functions
- CDSBC is an effective voice and decision influencer/maker at the provincial level
- CDSBC is an effective voice and decision influencer/maker at the national level on matters of accreditation/certification/ qualification standards, as well as assessment for entry or recognition
- The Board and staff communicate effectively with registrants, the public and role-players and stakeholders
- CDSBC has robust systems and processes in place to support organizational needs
- Board and staff promote and enhance understanding of, and adhesion to, best practices for governance and Board/committee effectiveness
- CDSBC is a desirable workplace that attracts, retains and develops talented and creative individuals on staff, committees and the Board
- CDSBC's assets, including College Place, are well-planned and managed
- The College is a prudent steward of financial resources
- Board and staff act (and are encouraged/ empowered to act) in a socially responsible manner

Year in Review

Keeping Patients Safe

New standards for Minimal and Moderate Sedation



The administration of sedation is an integral part of many dental practices. The Minimal and Moderate Sedation Working Group, made up of general dentists and certified specialists, worked over several years to revise the previous guidelines to assist dentists in the delivery of safe and effective minimal and moderate sedation. The document went through extensive revisions, several Board reviews, and public consultation that elicited more than 100 submissions.

The final document, *Minimal and Moderate Sedation Services in Dentistry (Non-hospital Facilities)*, was published to the profession in the summer of 2014.

Resolving Complaints More Quickly

It can be stressful for any registrant to deal with a complaint. Because closing complaints efficiently and working through the backlog of older complaints are key priorities, CDSBC has added significant staff resources to the complaints team over the past year.

The results have been encouraging. We have reduced the average age of open complaint files by about six weeks – to 10.5 months – and closed significantly more files (303) than we opened (280). This improvement comes at a time when the number of complaints continues to rise.

The bottom line is that the College today is doing a much better job of resolving complaints – and doing so more quickly than in the recent past.

Go to page 10 to learn how a complaint is resolved.

Putting Patients First

Addressing concerns about "corporatization" of dentistry

There are growing concerns about business arrangements that may prioritize the pursuit of profit over patient care. (Although this is commonly attributed to "corporate" dentistry, problems can arise in any business model.) Until recently there was no College document that explicitly addressed the inherent ethical challenges of the dual role of a dentist as a treating health professional and as a business person.

CDSBC believes that the public is best served when decision-making is patient-centred. A task force of the Ethics Committee drafted a document – *Principles of Patient-Centred Care and the Business of Dentistry* – that outlines registrants' ethical obligation to put the oral health care needs of their patients first and foremost. The document was posted for consultation in spring 2015 and is intended to become a standard for the profession in B.C. by June 2015.



Fiscal Responsibility

Over the past few years, CDSBC has taken action to better manage its assets and financial resources and to replenish its reserves and contingency funds. The College has realized cost savings in a number of operational areas. Further efficiencies are anticipated, with every business function having undertaken rigorous core process mapping and analysis. Our financial prudence resulted in a \$1.1 million surplus at fiscal year end. The majority of the surplus is earmarked for the College's reserves, while the remainder will help to defray the (highly variable) costs associated with hearing and enforcement actions. See the Treasurer's Report and Financial Statements (starting on page 31) for more information.

Face Time with our Registrants



CDSBC launched a new course at the Thompson Okanagan Dental Society Meeting

Digital communications have their place, but some things – like discussions about issues facing the profession, and efforts to build the knowledge and professionalism of current and future registrants – warrant face-to-face engagement. This year CDSBC:

- Added a new course "Trust me, I'm a dental professional" to our list of continuing education opportunities for dental professionals. The course outlines registrants' responsibilities to deliver ethical and professional patient-centred care.
- Delivered courses to audiences in the Fraser Valley, Kamloops, Kelowna and Vancouver (2).

- Led presentations on recordkeeping, ethics and professionalism at UBC Dentistry.
- Participated in a panel discussion regarding concerns about corporate dentistry, hosted by the Chinese-Canadian Dental Society of BC.

Helping the Public Make Informed Healthcare Decisions



CDSBC participated in the second year of a joint campaign by the more than 20 heath regulators in B.C. called "Our purpose, your safety." It featured online and bus shelter advertising, as well as public service announcements on Global TV. The campaign included several opinion pieces that ran in mainstream and ethnic media.

The campaign seeks to raise public awareness about which health professions are regulated, the regulator's role in promoting public safety, why it is important to choose a regulated health provider, and what can be done if you have concerns about a regulated health professional.

President's Message

My role as President of CDSBC has given me the opportunity to engage with many of my fellow

dentists, and to hear their views and questions with regard to College policies and activities.

These interactions have shown me that there remains a misconception about the role of the College compared to that of the British Columbia Dental Association (BCDA). A small group of my colleagues – competent, respected, long-standing members of the profession – tell me they think the College has "swung too far" towards protecting the public and away from "what dentists want."

CDSBC and the BCDA have a

history of collaborating on many issues of import to the profession. However, it is important to remember that each organization has a distinct role. The College's role is to protect the public, while the BCDA's role is to advocate for dentists. Our interests are aligned, but not identical.

"Self-regulation is a responsibility and privilege..."

The College must meet the requirements set out by government in the *Health Professions Act* and in the CDSBC Bylaws. The College is charged with setting standards for entry, competence, ethics and behaviour. That is where our emphasis has been in recent years, and where it needs to be, as evidenced by the various ethical and clinical standards and guidelines CDSBC has published.

Some of the recent policy decisions made by the Board have not been easy because they are a departure from long-established practices. But I am proud of the decisions our Board has made to bring this profession in line with evidence-based dentistry

and modern standards.

One flashpoint is the question of practitioner-patient boundaries, and specifically whether dentists should be "allowed" to treat their spouses despite the fact that the legislation in this province does not allow for any regulated health professional to have sexual relations with patients. The Board takes the view that the issue is not one of sexual conduct, but rather the ethical obligation to provide objective care, and to ensure patient autonomy and informed consent for every one of our patients. Just as there are

laws in place to protect the most vulnerable members of society, the College's policies must take into account the power imbalance between dentists and their patients. Otherwise, some patients might be vulnerable to receiving a lower standard of care.

For that reason the Board unanimously adopted the boundaries policy, which states that a doctor-patient relationship is not appropriate if there is – or may be – an impediment to any one of the requirements for objective care, patient autonomy and the ability to provide full and free informed consent.

Self-regulation is a responsibility and privilege that has been given to regulated health professionals by the government on behalf of the public of British Columbia. As a regulator, CDSBC must be vigilant in differentiating between what is good for the public, and what is good for the profession. If we don't, we run the risk of losing the privilege of self-regulation, as has happened in other jurisdictions.

Dr. David L. Tobias, DMD

President

Public Members' Report

This was another busy and exciting year for the College of Dental Surgeons of BC and for its public representatives. Public appointees are present at

the board and committee level, helping to ensure that transparency and accountability are paramount and that the public interest is protected in every facet of the day-to-day life of the College. Your public representatives combine a mix of business, legal, and accounting expertise to provide leadership and continuity on the Board.

The College is a fiscally responsible organization;

fees collected are put to work to enhance the professionalism of registrants, while making dentistry safe for the public. But protection of the public comes at a cost, particularly when it comes to responding to complaints. The College continues to take decisive action to make sure that all complaints are investigated and resolved in a timely manner. The increasing numbers of complaints we have seen in recent years may be the "new normal." We have to be even more vigilant and proactive in dealing with the public. This starts with how dentists communicate/ relate to their patients and continues through to timely, fair and effective processes and procedures for resolving complaints received.

Since protection of the public is the goal, it can only be accomplished by being at the top of the game, both technologically and academically. Continuing

> professional education is the key to staying relevant and up to date with the profession. Continuing professional education remains a priority for the College. In 2014 it introduced a new course, "Trust me, I'm a dental professional: Dentistry, ethics, law and the media" to supplement the series of courses it now offers on a regular basis.

As public representatives,

we admire the dedication and professionalism of our board colleagues, of staff, and of the dentists, certified specialists, dental therapists and certified dental assistants who form the nucleus of the profession. The fact that there are candidates seeking election to the 2015/16 CDSBC Board tells us that there are interested and engaged registrants who want to contribute at the board level.

It is a distinct pleasure and honour to serve as public members on the Board of a strong, healthy college that has a single mandate: protection of the public.

Melanio Crubio

Melanie Crombie

Julie Johal

Samson Lim

Son J-

Dan De Vita

Richard Lemon

David Pusev

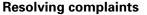
Public member Mr. Dan De Vita at a board workshop

with dentist board member Dr. Eli Whitney

Registrar/CEO's Message

The College's mandate is to serve and protect the public and to act at all times in the public interest.

We fulfill that mandate by setting entry standards for practice; establishing, monitoring and enforcing standards of conduct and care; establishing and monitoring quality assurance measures; providing standards and guidelines to assist professionals in their practice; and resolving complaints made against dentists, dental therapists and certified dental assistants.



Like all other regulated professions, dentistry continues to see a rising number of complaints. The public

and registrants should clearly understand the College's process for resolving complaints. There remain misconceptions about how the process unfolds and about what a remedial approach actually looks like. One way we are responding is by adding significantly more content about how complaints are resolved in the pages that follow, and on our new website due to be launched in the coming months.

"The public and registrants should clearly understand the College's process for resolving complaints."

To address the issues of timeliness and backlog, we have hired more complaints staff and improved our processes. I am pleased to report that, while resolving complaints remains our single largest cost driver, we are seeing a return on our investments in this area. We have reduced the average age of open complaints

files by about six weeks, and closed significantly more complaints than we opened. This does not even take

into account the hundreds of issues that are resolved by College staff before a formal complaint is made.

While this is a positive start, we remain committed to doing more and to continuous improvement of our systems, processes and procedures. We recognize that being the subject of a complaint can cause stress and anxiety for the practitioner, much like going to the dentist does for some patients. We at the College recognize our job is a necessary one for the health of the profession and one we must do with as much compassion and care for all parties as is possible.



Improving efficiency

Just as we have been seeking to improve our efficiency in resolving complaints, we have taken concerted action to find efficiencies throughout our operations. We have completed business process mapping analysis for all of our core business functions.

The world is going increasingly electronic, and the College is no different. A risk analysis review highlighted some vulnerabilities in our information management practices. We have refined our approach to information management, and implementation is underway – on time and on budget.

As part of this work, we have also invested in upgrades to our IT assets – from upgrading the technology infrastructure for the building to undertaking a substantial redesign of the College website to make it more user friendly for registrants and the public alike.

Registration and licensing

Along with complaint resolution, registration and licensing is a core business function. The trend towards greater labour mobility within Canada and reductions in trade and labour barriers around the world have important implications for how we admit practitioners. Along with our national colleagues, we are working with third-party assessors and schools to refine how dental education, registration and certification requirements are defined and assessed.

The College is proud to be part of the conversation, both nationally and globally. We have strengthened our working relationships at the national level. We have also taken an active role in the International Society of Dental Regulators and have participated on a committee tasked with drafting the bylaws for that organization. This work has the potential to blossom into a major global forum for analysis and discussion of best practices for regulation.

Practitioner wellness

A healthy and vibrant profession is in the public interest. To that end, we have worked to introduce and/or update practical standards and guidelines to assist the profession in delivering safe, ethical care. At the same time, in collaboration with our colleagues at the British Columbia Dental Association (BCDA), we continued to support and improve our wellness programs so that practitioners facing health issues – including those dealing with addiction or dependency – have viable options for treatment and are supported in their safe return to practice.

Recovery statistics for healthcare professionals who participate in healthcare-specific recovery programs are extremely high. We see that reflected in our programs. If you or anyone you know is struggling, please encourage them to contact the College, or Dr. John Palmer at the Dental Profession Advisory Program, a free, confidential counselling program offered by the BCDA that is available to dentists, dental staff, and their families.

Right-Touch Regulation

The College strives for "right-touch regulation" applying the minimum regulatory force in order to meet our mandate of protecting the public while supporting the professionalism, ethics and quality care provided by the nearly 10,000 dentists, dental therapists and certified dental assistants we regulate. Above all, we want our registrants to be the best practitioners they can be, and so our approach to resolving complaints is remedial, not punitive. Our success in defending our complaint resolution decisions in front of the Health Professions Review Board is one indicator that we are moving in the right direction. Another indicator is our continued work with our colleagues at the BCDA, as well as our engagement with the registrant community and other stakeholders in developing bylaws, standards and guidelines.

"Above all, we want our registrants to be the best practitioners they can be."

We don't claim to be perfect. But we are committed to self-reflection and welcome honest conversations about how we can be a better regulator. With the dedication and effort of the College Board, our numerous committees, and the amazing staff team I have the pleasure of leading, we are doing exactly that.

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Jerome Marburg, LL.B, MBA Registrar and Chief Executive Officer

Complaints

Complaints

HOW A COMPLAINT IS RESOLVED

The steps outlined below are for general information only. Exceptions may apply.

COMPLAINTS PROCESS

(normally confidential)

STEP 1

CDSBC Receives a Written Complaint

CDSBC investigates all complaints related to the conduct or competence of dentists, dental therapists and certified dental assistants.

Complaints that are trivial frivolous vexatious or made in bad faith are dismissed.

STEP 2

Preliminary Evaluation

The complaints team conducts a preliminary evaluation and recommends one of three options for consideration by the Inquiry Committee*:

- (a) dismissal (e.g., purely financial matters)
- (b) early resolution (e.g. relatively simple complaints)
- (c) investigation (and assignment to a Complaint Investigator)

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The complaints team includes 8 dentists.

Inquiry Committee* Review

STEP 3

The Inquiry Committee reviews the complaint and decides next steps, if any,

The Inquiry Committee consists of dentists, certified dental assistants, and members of the public.

STEP 4

Investigation

If not dismissed or resolved through early resolution, the next step is investigation. The Complaint Investigator gathers all relevant information and prepares a report for resolution.

This step may include telephone conversations, in-person interviews, and gathering of dental records, X-rays, models, and more.

The complaint letter is forwarded to the registrant, who has the opportunity to respond. The registrant's response is also provided to the complainant.

STEP 5

Complaints Team Prepares Report with Recommendations

The complaints team reviews the summary report and recommendations for resolving the complaint. Resolution options include:

- (a) close the complaint with no action taken, or with some recommendations/best practice advice: or
- (b) ask the registrant to sign an agreement to take certain steps to improve their practice (such as taking certain courses to improve skills) or to be mentored by another registrant for a period of time.

A report summarizing the complaint, investigation and recommendations for resolution is prepared for the Inquiry Committee.

This is a collaborative process with the registrant. The registrant has the opportunity to discuss any concerns identified and the proposed resolution. The registrant:

• can agree, or

Each complaint is

• can provide an alternative resolution proposal for the Inquiry Committee to consider (and can ask for a meeting with a panel of the Inquiry Committee.)

reviewed at a weekly complaints team meeting. The process is overseen by the Inquiry Committee ensuring that there are multiple dentists reviewing each complaint file.

STEP 6

Inquiry Committee Decision

The Inquiry Committee

receives the report and

recommendations for

resolution and makes

The majority of complaints

are resolved at this stage.

Complaints only proceed if

appear before the Inquiry

a citation be issued against

the registrant asks to

Committee, or if the

the registrant.

Committee directs that

its decision.

STEP 7

(normally public)

Inquiry Committee Directs the Registrar to Issue a Citation

DISCIPLINE PROCESS

Citations are issued in the rare instances where an issue is serious, an agreement cannot be reached, and/or the registrant has not responded to the complaint.

A citation is a formal document that lists the allegations against the registrant and provides notice that there will be a public hearing before the Discipline Committee.

Less than 2% of complaints reach the discipline stage. Even after a citation is issued, the registrant can make a proposal to the Inquiry Committee to resolve the citation.

Remedial not Punitive If concerns about a

registrant's practice are identified, CDSBC takes a remedial and collaborative approach to resolving those concerns. All complaints resolved without a citation happen by consent.

A COMMITTEE-DRIVEN PROCESS

The Inquiry Committee gives direction and decides how each complaint will be resolved. In the event that a complaint proceeds to a discipline hearing, the Discipline Committee will determine the resolution.

* For simplicity, the terms Inquiry Committee and Discipline Committee are used here to refer to either panels or meetings of the whole committee.

SAMPLE COMPLAINT SUMMARIES

Below are four examples of the types of complaints we receive and how they are investigated and resolved. They are intended to illustrate the complaints process (see pages 10-11). All investigations are directed and overseen by CDSBC's Inquiry Committee.

Lack of Professional Courtesy

Dentist A complained about a letter she received from Dentist B, which criticized the quality of care Dentist A had provided to a patient and demanded that she pay for the cost of re-treating the patient.

The patient had visited Dentist B for a second opinion after receiving treatment from Dentist A. Dentist B told the college that he was shocked by what he felt was substandard treatment. He said that he sent the letter rather than contacting Dentist A to discuss his concerns because he did not think a discussion with Dentist A would have been helpful and he wanted to ensure that the patient would not have to pay the cost for him to re-do Dentist A's work. He acknowledged that he could have been more tactful.

The investigation of Dentist A's complaint also revealed concerns with Dentist B's recordkeeping and informed consent procedures. Dentist B signed an agreement acknowledging the concerns about informed consent and recordkeeping and agreed to take CDSBC's online Dental Recordkeeping and Tough Topics in Dentistry (informed consent) courses. He also confirmed that in the future if he has any concerns about treatment provided by another dentist, he will discuss those concerns directly with the other dentist before taking any other steps.

Second complaint file opened

Dentist A's original complaint was about the critical letter she received from Dentist B; however, during the course of the investigation, concerns about Dentist A's recordkeeping, diagnostic competence and treatment planning for fixed prosthodontics were raised and a separate investigation was initiated.

The Inquiry Committee was concerned that Dentist A had allowed the patient to dictate the treatment plan, even though it went against her professional judgment and she was aware that the treatment would likely fail. The patient records did not include informed consent discussions and lacked sufficient detail for such a complex case.

Dentist A signed a Memorandum of Agreement and Understanding to take CDSBC's online *Dental Recordkeeping and Tough Topics in Dentistry* (informed consent) courses, join a clinical prosthodontic study club, and undergo monitoring and chart reviews.

Confusion about Consent

A mother of a sixteen-year-old patient complained that the dentist did not consult her before delivering treatment that was not fully covered by her dental insurance plan.

The dentist had recommended that the teenage patient have two of her wisdom teeth extracted. He said that the patient told him that it was not necessary to consult with her mother before he provided the treatment. The dentist performed the extractions but the dental insurance provider did not cover the full cost of the treatment.

The dentist was reminded that although the patient was old enough to consent to treatment, her parents should have been informed because they were paying for it. Informed consent discussions were not included in the patient chart.

The dentist signed an agreement acknowledging the concerns and agreeing to take CDSBC's online Dental Recordkeeping and Tough Topics in Dentistry (informed consent) courses.

Unethical Billing

Following an audit, a dental insurer reported concerns about a dentist's billing practices to CDSBC, noting that he billed excessive amounts for a patient with unlimited dental coverage.

Two separate pre-authorizations were made on the same day of the patient's endodontic (root canal) procedure through the insurer's automated telephone inquiry system. Because the patient had an unlimited coverage plan, the procedure was approved automatically and the dental office received full payment.

The investigation raised concerns about inaccurate billing codes, the dentist's endodontic competence, and recordkeeping. The dentist said his staff have the discretion to bill according to the complexity of the procedure, his expertise and the length of time involved. The dentist felt a higher bill was reasonable given that the procedure took longer than usual, but investigators questioned whether the additional billing was in line with the extended length of the treatment.

The dentist acknowledged the ethical issues with his billing practices and agreed that it was his responsibility to oversee and ensure the accuracy of the billing process. He signed a Memorandum of Agreement and Understanding to complete a professional ethics course, undergo monitoring and chart reviews, review the *Dental Recordkeeping Guidelines*, and take CDSBC's online *Dental Recordkeeping* course.

Search for a Perfect Smile

A patient complained that her front teeth were not perfectly aligned following orthodontic treatment.

The orthodontist confirmed that the patient had been pleased with the aesthetics when the braces were initially removed, but returned two months later concerned about the alignment of the upper front teeth with the center of her face (the midline). The orthodontist discouraged further treatment and suggested she take some extra time to think about it or seek a second opinion. The patient sought a second opinion from another orthodontist, who initially discouraged further treatment, but ultimately carried out an additional orthodontic treatment plan.

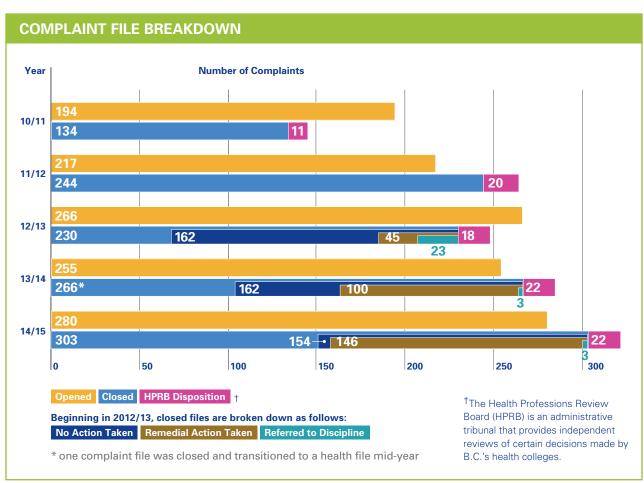
The Inquiry Committee did not find any evidence that the initial orthodontic treatment was substandard. The "before and after" photos provided by the patient did not support her complaint, as her teeth appeared to be perfectly aligned.

Although there was no finding of wrong-doing, the orthodontist found it to be a learning experience. He agreed that in the future he would have his patients approve the aesthetics prior to removing the braces and he would record their approval in the patient chart. The complaint was closed without any action taken.

"I'd just like to thank you again for your hard work, your professionalism, and also your collegial attitude throughout these proceedings. No one likes having to go through the complaint process, but I appreciated that you helped me through it and made it as positive an experience as possible. I truly hope the patient has all of her needs met."

A dentist who was the subject of a complaint





Discipline Hearings

Less than two percent of complaints result in a citation being issued. A citation is a formal document issued at the direction of the Inquiry Committee that lists the allegations against the registrant and provides notice that there will be a formal public hearing before a panel of the Discipline Committee.

Discipline Committee panels conducted hearings in the following matters:

Dr. Michal Kaburda, White Rock, B.C.

The panel found that Dr. Kaburda, a certified specialist in Oral and Maxillofacial Surgery, committed professional misconduct by refusing to provide records to a patient and refusing to respond to correspondence from CDSBC. The panel imposed the following penalty: a reprimand; immediate suspension from the practice of dentistry for a period of six months; an order to produce a complete copy of the complainant's records to CDSBC, and if he does not, his suspension will continue until this requirement is met; and payment of a fine and costs to CDSBC.

Uday Jobanputra, Burnaby, B.C.

The panel found that former registrant Uday Jobanputra committed multiple instances of professional misconduct and unprofessional conduct relating to his treatment of staff, patients, and the public. His registration as a dentist with CDSBC was cancelled; he must pay \$38,000 in costs; and he may not apply for reinstatement before 1 March 2017 (with conditions).

Dr. Bobby Rishiraj, Kamloops, B.C.

The first part of a discipline hearing regarding Dr. Bobby Rishiraj, certified specialist in Oral and Maxillofacial Surgery, was held in 2014/15. The allegations include that Dr. Rishiraj administered deep sedation on numerous occasions when he was only registered for moderate sedation, and that he did not adequately monitor patients under sedation. The panel's decision will be published when it is available.

Discipline Resolutions

In the case below, the complaint was resolved by consent with the registrant after a citation was issued, thus avoiding the need for a discipline hearing.

Leslie Winick, Surrey and Fort St. John, B.C.

Mr. Winick earlier avoided a citation by withdrawing from practice and resigning his registration with CDSBC. When he indicated that he wanted to return to practice, the citation was issued. The matter was resolved in May 2014 when he admitted to incompetent practice and unprofessional conduct, and agreed to a reprimand; a fine; and pledged not to apply for reinstatement before 15 August 2014. If reinstated with CDSBC, he will be subject to a number of limits and conditions on his practice.

More information about these cases, and all of CDSBC's discipline activities, is available at www.cdsbc.org/public-notification-disciplinary-actions.

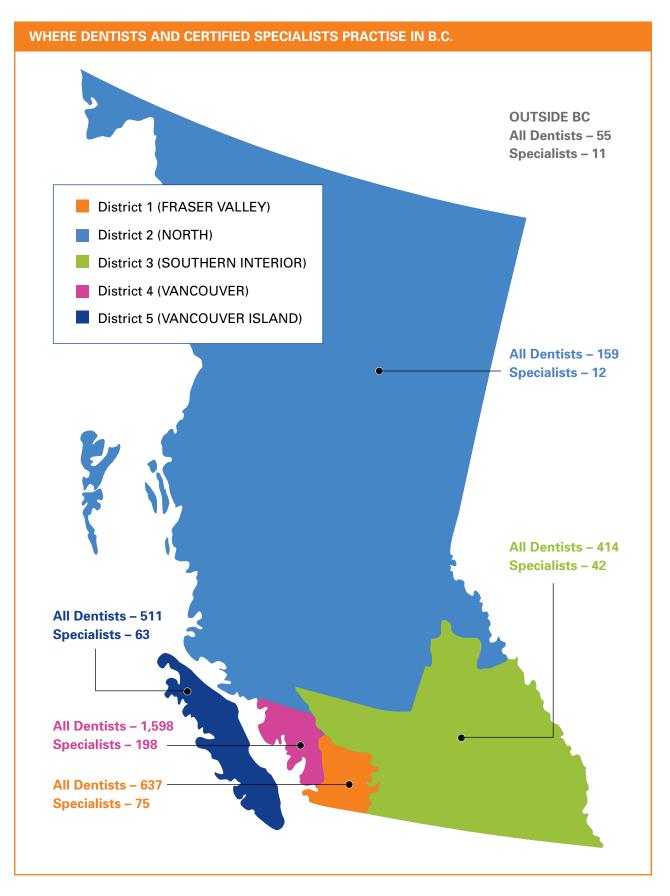
Illegal Practice

Chao Ming Guan, Vancouver, B.C.

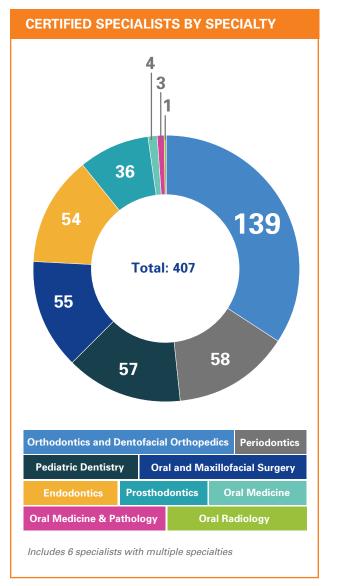
CDSBC took legal action against Chao Ming Guan of Vancouver after being alerted that he was running an illegal practice from his residence. Mr. Guan consented to a permanent injunction by the BC Supreme Court that prohibits him from practising dentistry and using any name or title (in any language) that implies that he is a registrant of, or associated with, CDSBC. Mr. Guan also consented to an order requiring him to pay a portion of the College's costs, and the forfeiture to CDSBC of dental supplies and equipment seized from his residence.

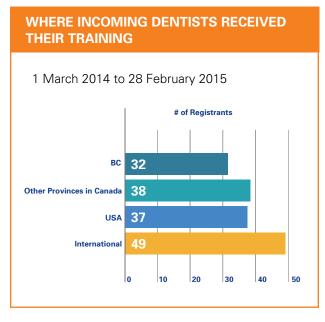
The College also took action against two other individuals for the illegal practice of dentistry, Wei Ming (Margaret) Du and Valentyn Uvarov. These cases were resolved after year end; more information is available at www.cdsbc.org/illegal-practice.

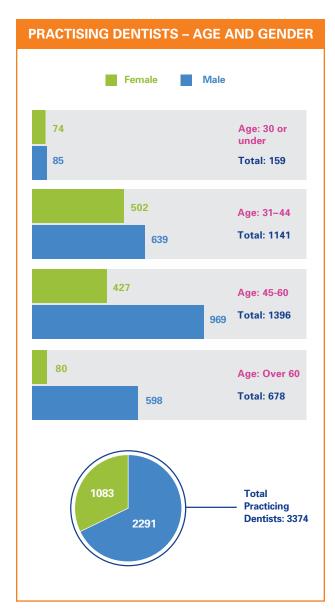
Registration











HANGES TO THE REGISTER	
March 2014 to 28 February 2015	
DENTISTS	
Added to the register Removed from the register	+ 156 - 68
Net	+ 88
CERTIFIED DENTAL ASSISTAN	TS
Added to the register* Removed from the register	+ 343 - 329
Net	14
DENTAL THERAPISTS	
Added to the register	0
Net	0
*Includes new, temporary and temporary-provisional certifications	

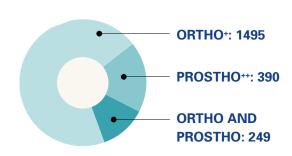
WHAT IS A DENTAL THERAPIST?

These dental professionals provide oral healthcare services to underserved, and often remote, First Nations populations. They may be the primary oral healthcare professional in their community. Dental therapists in B.C. must be employed by the First Nations Health Authority and may only provide services to First Nations communities under the supervision and direction of a dentist in good standing.

CERTIFIED DENTAL ASSISTANTS BY CATEGORY



CDA MODULES – PRACTISING CERTIFIED DENTAL ASSISTANTS



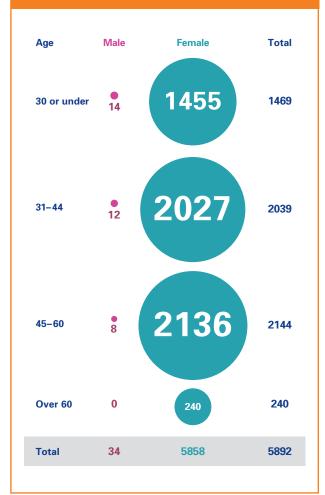
*Orthodontic Module

Refers to CDAs who are qualified to perform orthodontic assistant duties after completing an orthodontic module at an accredited program that is recognized in B.C.

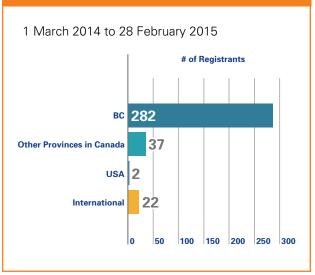
**Prosthodontic Module

Refers to CDAs who are qualified to perform expanded prosthodontic duties after completing a prosthodontic module at an accredited program that is recognized in B.C.

PRACTISING CERTIFIED DENTAL ASSISTANTS – AGE AND GENDER



WHERE CERTIFIED DENTAL ASSISTANTS RECEIVED THEIR TRAINING



Governance and Operations

Board 2014/15

CDSBC is governed by an 18-member Board that includes 10 dentists, two certified dental assistants and six public members appointed by the provincial government.

ELECTED OFFICERS

Dr. David Tobias, President

Dr. Erik Hutton, Vice-President

Dr. Kerim Ozcan, Treasurer

DENTISTS

Dr. Ben Balevi (District 4: Vancouver)

Dr. Darren Buschel (District 3: Southern Interior)

Dr. Dustin Holben (District 5: Vancouver Island)

Dr. Mark Spitz (District 2: North)

Dr. Pamela Barias (Certified Specialist)

Dr. Jan Versendaal (District 1: Fraser Valley)

Dr. Eli Whitney (University of British Columbia

- Faculty of Dentistry)

CERTIFIED DENTAL ASSISTANTS

Ms. Elaine Maxwell

Ms. Sherry Messenger

PUBLIC MEMBERS

Ms. Melanie Crombie

Mr. Dan De Vita

Ms. Julie Johal

Mr. Richard Lemon

Mr. Samson Lim

Mr. David Pusey



BACK ROW (L-R): Ms. Melanie Crombie, Mr. Dan de Vita, Mr. David Pusey, Mr. Richard Lemon, Dr. Dustin Holben, Dr. Jan Versendaal, Ms. Elaine Maxwell, Dr. Eli Whitney, Dr. Darren Buschel, Mr. Samson Lim, Ms. Sherry Messenger

FRONT ROW (L-R): Ms. Julie Johal, Dr. Mark Spitz, Dr. Erik Hutton, Dr. David Tobias, Mr. Jerome Marburg (Registrar/CEO), Dr. Kerim Ozcan, Dr. Pamela Barias

Absent: Dr. Ben Balevi

Audit Committee*

Members

Mr. Samson Lim, CA, Chair (Public Member)

Dr. Kerim Ozcan, Vice-Chair

Dr. Bob Coles (until August 2014)

Dr. Peter Stevenson-Moore

Role

To advise and assist the Board on issues related to CDSBC's financial statements, internal financial controls and annual audit.

MEETINGS: 4

"The Audit Committee has had an active year evolving management reporting and enhancing oversight on a broad range of financial and risk matters."

Mr. Samson Lim, Chair

Key Activities

The Audit Committee has four main activities:

- Review CDSBC's monthly financial statements
- Review the annual audited financial statements and auditor's report and recommend them for Board approval
- Review the annual budget as prepared by staff and recommend it for Board approval
- Review the expense claim forms of Board members and the Registrar/CEO to ensure compliance with policies

^{*}This Committee works in tandem with the Finance and Audit Working Group and all activities highlighted here refer to the activities of both. When the bylaw change is approved, the Committee will be renamed "Finance and Audit Committee." Members of the Finance and Audit Working Group include members of the Audit Committee and Dr. David Tobias, President (ex-officio), Dr. Erik Hutton, Dr. Darren Buschel, and Mr. Dan De Vita.

CDA Advisory Committee

Members

Ms. Sherry Messenger, CDA, Chair

Ms. Susanne Feenstra, CDA, Vice-Chair

Ms. Yasmine Banzon (until September 2014)

Mr. Dan De Vita, Public Member

Ms. Wendy Forrieter, CDA

Dr. Patricia Hunter

Ms. Elaine Maxwell, CDA

Dr. Rob Staschuk

Dr. Eli Whitney

Role

This Committee meets as needed to deal with issues of concern raised by certified dental assistants.

MEETINGS: 0

CDA Certification Committee

Members

Ms. Rosie Friesen, CDA, Chair

Ms. Elaine Maxell, CDA, Vice-Chair

Ms. Melanie Crombie, Public Member

Ms. Bev Davis, CDA

Dr. Alexander Lieblich

Mr. David Pusey, Public Member

Role

To advise the Board on minimum standards of education and experience required for certified dental assistants to practise in B.C., to review the standards set by other Canadian jurisdictions, and to make recommendations to the Board regarding the recognition of other jurisdictions.

MEETINGS: 1

Key Activities

Certification

Applications for certification that do not meet the criteria set out in the CDSBC Bylaws are referred to the CDA Certification Committee. The Committee considered and approved one application for certification as a practising CDA.

"It has been a pleasure and honour to work with Committee members and College support staff. These folks are a committed group who fulfill their responsibilities wholeheartedly and objectively, always in the interest of public safety."

Ms. Rosie Friesen, Chair

Discipline Committee

Members

Dr. Josephine Chung, Chair

Dr. Bruce Ward, Vice-Chair

Ms. Leona Ashcroft, Public Member

Dr. Karl Denk

Mr. Paul Durose, Public Member

Dr. John Gercsak

Mr. Martin Gifford, Public Member

Dr. Myrna Halpenny

Mr. Michael MacDougall, Public Member

Ms. Elaine Maxwell, CDA

Ms. Catherine Monk, CDA

Dr. William Rosebush

Mr. Anthony Soda, Public Member

Dr. David Speirs

Dr. Arnold Steinbart

Dr. Michael Wainwright

Role

To hold hearings regarding the conduct or competence of a registrant if the Inquiry Committee directs a citation for hearing. The Discipline Committee conducts hearings, makes findings, determines the appropriate penalty, and issues written reasons for its decisions. The Discipline Committee holds hearings in panels of three; every panel includes one public member.

MEETINGS: 1 orientation session; 3 discipline panel hearings

Committee members must attend an orientation and training session before serving on a discipline panel. This took place on 27 February 2015, and featured an interactive session led by independent legal counsel and a representative from the BC Council of Administrative Tribunals.

Discipline Panel Hearings and Decisions

There were three discipline hearings in 2014/15. More information about the cases below is available on page 15 and on the CDSBC website.

Uday Jobanputra

On 30 July 2014, a discipline panel issued its decision in the matter of Uday Jobanputra (a former registrant). The panel found that Mr. Jobanputra had committed unprofessional conduct and professional misconduct. On 5 December 2014, the panel issued its penalty decision: that Mr. Jobanputra's registration be cancelled, that he may not re-apply for reinstatement for three years (upon the fulfillment of certain conditions), and that he pay \$38,000 towards the hearing costs.

Dr. Michal Kaburda

On 15 October 2014, a discipline panel issued its decision in the matter of Dr. Michal Kaburda, certified specialist in oral and maxillofacial surgery. The panel found that Dr. Kaburda had committed professional misconduct. On 9 January 2015, the panel issued its penalty decision: that Dr. Kaburda be reprimanded, that he pay a fine of \$10,000, that his registration be suspended for six months (continuing until he complies with certain conditions), and that he pay \$15,000 towards the hearing costs.

Dr. Bobby Rishiraj

On 12-14 November 2014 and 26-27 January 2015, a discipline panel conducted a hearing regarding Dr. Bobby Rishiraj, a certified specialist in Oral and Maxillofacial Surgery. The Panel has not yet issued its decision.

"Our dedicated committee members and support staff work together to maintain the integrity of the profession and protect the safety of the public."

Dr. Josephine Chung, Chair

Ethics Committee

Members

Dr. Ken Chow, Chair

Dr. Brian Wong, Vice-Chair

Ms. Nadine Bunting, CDA

Dr. Brad Forster

Dr. Leetty Huang

Dr. Mark Kwon

Mr. Richard Lemon, Public Member

Dr. Reza Nouri

Mr. Gaetan Royer, Public Member

Dr. Mark Spitz

Dr. Peter Stevenson-Moore

Dr. Chiku Verma

Dr. Jonathan Visscher

Mr. Oleh Ilnyckyj, Miller Thomson (Advisor)

Role

Develops and recommends changes to ethical standards applicable to registrants.

MEETINGS: 4

"The Code of Ethics is what our profession is bound by in any related activity to protect the patients' best interest and care, first and foremost. The Ethics Committee continues to strive for patient-centred care and to uphold the doctor-patient relationship without interference in any practice model."

Dr. Ken Chow, Chair

Key Activities

Advertising and Promotional Activities Bylaws

The Ethics Committee has re-drafted Part 12 of CDSBC's Bylaws, which addresses the requirements for advertising and promotional activities by registrants. The proposed bylaw attempts to strike the balance between Charter rights of free speech and the regulator's responsibility to protect the public.

The essential elements include:

- a requirement for dentists to identify in their advertising whether they are general dentists or specialists;
- restrictions on how registrants can refer to their non-dental degrees and continuing education courses;
- a prohibition on the advertising of free or discounted services and rewards programs, which may induce the public to seek unnecessary treatments or procedures;
- preservation of the ability to provide pro-bono services to those in need; and
- a requirement to clearly identify the names of registrants who own, provide services, and/or derive income at a dental office.

The fully revised Part 12 was approved by the Board for a second 90-day consultation period. Provided that no substantive issues arise, the proposed Part 12 of the CDSBC Bylaws will be filed with the Ministry of Health after the close of the consultation period.

Patient-Centred Care and the Business of Dentistry

With the number of group practices on the rise, concerns have been raised that "corporatization," or a business-centric model, may be adversely affecting patient care. The Committee struck a task force to consider the issue and provide recommendation to the Board on possible responses to the corporatization concerns. In September 2014, Board and Ethics Committee members attended a workshop on this topic, which resulted in the draft document, *Principles of Patient-Centred Care and the Business of Dentistry*. The document was published for public consultation from 19 March – 6 May 2015.

Once approved by the Board, it will become part of CDSBC's standards & guidelines series for the profession.

Governance Committee*

Members

Dr. Erik Hutton, Chair

Dr. Ben Balevi

Ms. Melanie Crombie, Public Member

Mr. Richard Lemon, Public Member

Dr. Kerim Ozcan

Role

Develops and recommends CDSBC's approach to good governance and Board effectiveness, and reviews CDSBC's governance policies relating to human resources and their effective implementation.

MEETINGS: 0

This Committee met via email discussion as it is preparing to rewrite the suite of "Executive Limitations" policies. These policies are one of the ways through which the Board exercises its due diligence functions to ensure management compliance with the Board's policies.

Although CDSBC approved a new governance model in 2012, this aspect of governance was not fully addressed. In September 2015, the Committee will review all governance material to ensure CDSBC continues to use current best practices in governance.



Governance Committee Chair Dr. Erik Hutton

^{*}Technically a working group that will officially become a committee when CDSBC's bylaw revisions are approved.

Inquiry Committee

Members

Dr. Scott Stewart, Chair

Dr. Gregory Card, Vice Chair

Dr. Jonathan Adams

Ms. Nadine Bunting, CDA

Dr. John Carpendale

Ms. Lynn Carter, Public Member

Mr. A. Thomas Clarke, Public Member

Dr. Bertrand Chan

Mr. Brad Daisley, Public Member

Dr. Robert Elliott

Dr. Patricia Hunter

Dr. Erik Hutton

Ms. Julie Johal, Public Member

Mr. Richard Lemon, Public Member

Mr. Robbie Moore, Public Member

Dr. Ellen Park

Dr. Michael Racich

Dr. Jonathan Suzuki

Ms. Agnes Yngson, CDA

Role

To accept, investigate, resolve or otherwise dispose of complaints.

MEETINGS: 10 full Inquiry Committee meetings; 19 panel meetings

In addition, a panel of the Inquiry Committee meets weekly (electronically) to accept new complaints for investigation.

"Another record year was set by the Inquiry Committee both in files opened and closed. Much work was again accomplished by this hardworking group of individuals."

Dr. Scott Stewart, Chair

Key Activities

Complaint Resolution (For more information about how complaints are resolved, see pages 10-11.)

The Inquiry Committee received 280 new complaints and closed 303. 154 files were resolved with no formal action required. For 146 complaint files, the Committee requested the registrant enter into an agreement* with CDSBC to take remedial or other action. Two matters that were resolved by agreement without a citation were considered serious matters under the *Health Professions Act* and therefore required publication on the CDSBC website.

Citations

A citation is a formal document authorized by the Inquiry Committee. It lists the allegations against the registrant and provides notice that there will be a public hearing before the Discipline Committee.

- One citation was resolved by agreement.
- One hearing that was scheduled for fall 2014 was rescheduled by agreement at the dentist's request.
- A citation was authorized against a registrant in October 2014.
- Two citations previously authorized by the Inquiry Committee proceeded to hearings and were concluded.
- One citation resulted in a discipline hearing. The facts portion of the hearing concluded, but no decision has yet been issued.

Illegal Practice

The Committee oversaw the investigation and authorized the College to bring court proceedings involving three illegal dentists: Wei Ming (Margaret) Du of Vancouver, Chao Ming Guan of Vancouver, and Valentyn Uvarov of Surrey. More information is included on page 15 and on the CDSBC website.

Judicial Reviews

The College's Judicial Review of an HPRB decision was successful in the Supreme Court (College of Dental Surgeons of British Columbia v. Health Professions Review Board, 2014 BCSC 1841). The HPRB has appealed that decision. The appeal has not yet resolved.

A Judicial Review brought by a dentist in 2013 has not concluded.

*Either a letter or Memorandum of Agreement and Understanding

Nominations Committee

Members

Dr. Peter Stevenson-Moore, Chair

Dr. Bob Coles, Vice-Chair

Ms. Melanie Crombie, Public Member

Ms. Lane Shupe, CDA

Dr. David Tobias

Dr. Ash Varma

Role

To oversee the CDSBC awards program, and to recruit dentists or certified dental assistants to fill any elected Board member positions for which no valid nominations are received.

MEETINGS: 2



Dr. Myrna Halpenny accepts the Honoured Member award

Key Activities

2015 AWARD WINNERS

The College's awards program recognizes extraordinary volunteers who make a significant contribution to the organization. The Nominations Committee reviewed the College's full volunteer roster, along with nominations received by members of the profession, and recommended a list of award nominees to the Board. The Board approved the nominees, and these individuals were honoured at a ceremony on 5 March 2015 in Vancouver.

Honoured Member Award

Dr. Myrna Halpenny

Distinguished Service Award

Dr. Peter Stevenson-Moore

Award of Merit

Dr. Josephine Chung

Dr. Alexander Hird

Dr. Francis Ping

Dr. William Rosebush

Dr. Jonathan Suzuki

Dr. Brian Wong

Special Group Award

Minimal and Moderate Sedation Working Group:

Dr. Michael Henry (Chair), Dr. Geoff Grant,

Dr. David Sowden, Dr. Mark Spurr, Dr. Bruce Ward

"One of the most rewarding aspects of working on this committee is the opportunity to recognize those who have made significant contributions of time and expertise to the College. Our registrants have good reason to be very grateful for their efforts."

Dr. Peter Stevenson-Moore, Chair

Quality Assurance Committee

Members

Dr Ash Varma, Chair

Dr. Adam Pite, Vice Chair

Dr. Ben Balevi

Ms. Catherine Baranow, CDA

Mr. Paul Durose, Public Member

Dr. Andrea Esteves

Ms. Julie Johal, Public Member

Dr. Ira Sy

Dr. Bhasker Thakore

Dr. Jan Versendaal

Dr. David Vogt, Public Member

Role

To oversee the standards of practice* and other quality assurance measures that maintain and promote the competence, knowledge and professionalism of registrants.

*Standards for sedation are addressed by the Sedation and General Anaesthetic Services Committee

MEETINGS: 4

"The Quality Assurance Committee develops guidelines and standards of care for the profession. The Committee is also looking at ways in which registrants can have access to and be involved in ongoing, quality continuing professional development."

Dr. Ash Varma, Chair

Key Activities

Standards for Dental Radiography

Radiographs in dentistry (i.e. X-rays) are necessary for the evaluation and diagnosis of many oral conditions and diseases, but the benefits must be weighed against the risks of radiation. The Committee reviewed the literature in other jurisdictions in order to prepare a document that outlines the College's expectations for registrants when using dental radiographs – including the use of cone beam computed tomography (CBCT) in dentistry. This is a newer form of radiograph that provides three-dimensional images.

The Committee's draft document on dental radiography was approved by the Board for public consultation. Once finalized, it will be published under the CDSBC Standards & Guidelines series.

Pacific Dental Conference (PDC) Course Eligibility

The annual Pacific Dental Conference offers attendees more than 150 sessions on topics related to dentistry and wellness. Each year, the Committee reviews and confirms the sessions that registrants may claim for continuing education credit.

Enhancing Competency

All health regulators in B.C. have a quality assurance program to ensure registrants demonstrate ongoing competency in their area of practice. The College's current quality assurance program has been in place for a number of years. The Board has charged the Committee to review the current process in light of public expectation of health professions and best practices in quality assurance. To begin this work, the Committee and Board attended a Quality Assurance/Quality Improvement workshop in February 2015, led by experts in the field of quality assurance and adult learning.

Registration Committee

Members

Dr. Alexander Hird, Chair

Dr. Darren Buschel, Vice-Chair

Dr. Ben Balevi

Dr. Pamela Barias

Ms. Lynn Carter, Public Member

Mr. Dan De Vita, Public Member

Dr. Warren Ennis

Dr. Dustin Holben

Dr. Kerim Ozcan

Mr. Dave Pusey, Public Member

Role

To grant registration with CDSBC to dentists in accordance with the *Health Professions Act* and CDSBC Bylaws, and to review and monitor registration requirements under legislation/policy.

MEETINGS: 4 meetings; 1 hearing

"I cannot say enough about this talented and dedicated group of volunteers who serve at the entryway to the profession, making fair and clear decisions regarding those seeking the privilege of treating the public."

Dr. Alexander Hird, Chair

Key Activities

Applications for Initial Registration or Renewal

The Committee considered four applications in 2014/15:

- Dentist requesting renewal with limitations (2)
- Dentist did not meet quality assurance requirements (1)
- Dentist requesting Full Registration with limitations (1)

All four were approved by the Committee.

Registration Hearing

The Registration Committee held a hearing on an application for reinstatement as a general dentist. After receiving extensive written evidence and submissions, the Committee convened for two days in January 2015 for oral evidence and submissions. The applicant did not satisfy the Committee that he meets the requirements for reinstatement; his application was denied. (The decision was issued in April 2015).

Sedation and General Anaesthetic Services Committee

Members

- Dr. David Sowden, Chair
- Dr. Tobin Bellamy, Vice-Chair
- Dr. Martin Aidelbaum
- Dr. Ben Balevi (until August 2014)
- Dr. Brian Chanpong
- Dr. Michael Henry
- Dr. Larry Kahn, Anaesthesiologist
- Dr. James Kim, Anaesthesiologist
- Mr. Gordon McConnell, Biomedical Engineer
- Dr. Maico Melo
- Dr. Francis Ping, Anaesthesiologist (until September 2014)
- Dr. Richard Wilczek
- Dr. Scott Yamaoka

Role

To review the sedation and general anaesthetic standards, and to assess compliance with those standards at dental offices where sedation is provided.

MEETINGS: 5

"The safe administration of sedation is an integral part of many dental practices. The new standards for Minimal and Moderate Sedation will continue to be rolled out with a staged approach to inspections of moderate parenteral facilities."

Dr. David Sowden, Chair

Key Activities

New Sedation Standards

The Committee completed the work of updating the standards for minimal and moderate sedation in dentistry. The new document was published to the profession in August 2014.

Approval of Qualifications

The Committee approved 17 applications from dentists to register their qualifications to provide moderate sedation and one application to provide general anaesthesia.

Facility Inspections

The Committee inspected and approved 17 new facilities to provide deep sedation services, and inspected and approved six new facilities to provide general anaesthetic services.

As of 28 February 2015 there are 47 authorized deep sedation facilities and one travelling deep sedation provider group. There are 21 authorized general anaesthesia facilities. All deep sedation and general anaesthesia facilities are inspected every three years; between inspections, they are required to submit a detailed annual self-assessment for review by the Committee.

The Committee is developing an inspection protocol for moderate parenteral (IV) sedation facilities.

Treasurer's Report

On behalf of the Board of the College of Dental Surgeons of BC, I am pleased to report that our organization remains in a very solid financial position.

Thanks in no small part to prudent fiscal management, the year-end sees us with a \$1.1 million surplus against budget. The surplus enables us to replenish spent reserves and contingency funds from previous years where some core costs were not adequately budgeted and resourced. At current rates we expect to replenish reserves one year ahead of anticipated schedule.

Since 2012, CDSBC has taken steps to better forecast and monitor its expenditures, including putting into place some explicit accounting and budgeting measures to deal with

the College's core business functions. There has been a particular emphasis on controlling operational costs, where we have a high degree of management control.

"The surplus enables us to replenish spent reserves and contingency funds... we expect to replenish reserves one year ahead of anticipated schedule."

Setting aside the fees we collect on behalf of the British Columbia Dental Association, salaries and benefits represent CDSBC's largest expense category. This is not surprising; the role of the College is one of intellectual endeavour and to do it well requires good quality human capital. However, our salary cost as a percentage of budget falls well within the parameters of our peer organizations across North America. We strive to strike the right balance between hiring permanent employees and retaining external consultants to carry out our responsibilities.

For example, using in-house legal counsel has reduced our legal costs for dealing with Health Professions Review Board appeals by approximately 30 percent.

Resolving complaints in accordance with the provisions of the *Health Professions Act* remains our single largest cost driver. This becomes ever more apparent as the number of complaints against registrants continues to rise – we opened 280 in the past year alone. By using our resources wisely, we closed more complaints (303) than were opened – for the second year in a row. This is a positive trend on which to build.

For 2014/15 we had set aside \$796K in anticipation of hearings and enforcement actions. We budget conservatively in this area,

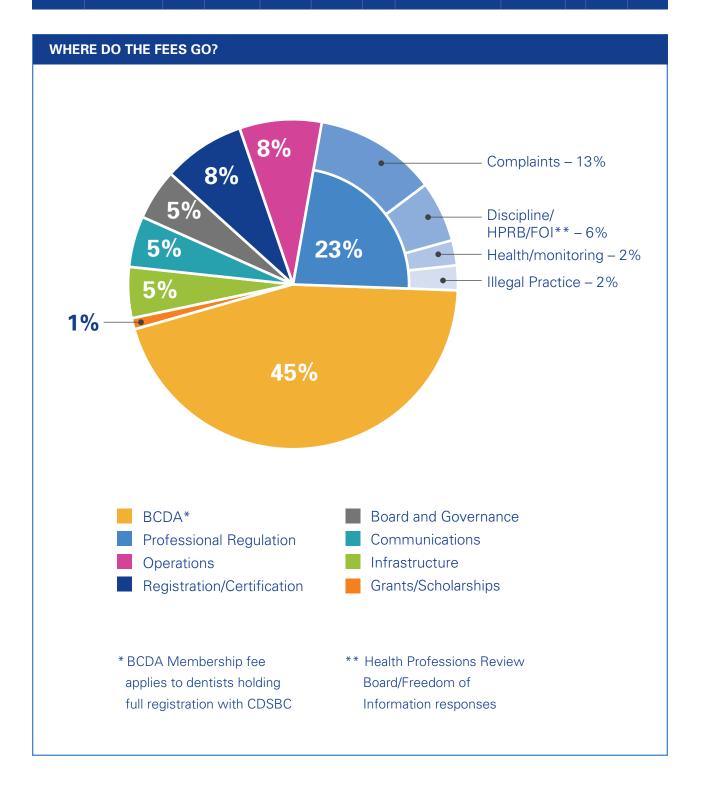
knowing that while some cases expected to go to hearing may settle, others may take longer than anticipated. We were pleased to be able to settle a number of discipline cases without need of a hearing, and one large case we anticipated being heard this fiscal year was delayed. We also managed to resolve three illegal practice cases more quickly than anticipated and recovered some of our costs through fines.

The Board is satisfied with the College's financial performance for the year past and is supportive of the expenditures planned for the year ahead. Full details are available in the financial statements that follow.

Lung

Dr. Kerim M. Özcan, B.Sc., DDS, FRCD (C) Treasurer

Financial Overview



Consolidated Financial Statements

28 February 2015

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Independent Auditors' Report

TO THE DENTISTS, DENTAL THERAPISTS AND CERTIFIED DENTAL ASSISTANTS OF COLLEGE OF DENTAL SURGEONS OF BRITISH COLUMBIA

We have audited the accompanying consolidated financial statements of the College of Dental Surgeons of British Columbia, which comprise the consolidated statement of financial position as at February 28, 2015 and the consolidated statements of operations, changes in net assets and cash flows for the year then ended, and a summary of significant accounting policies and other explanatory information.

Management's Responsibility for the Consolidated Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with Canadian accounting standards for not-for-profit organizations, and for such internal control as management determines is necessary to enable the preparation of consolidated financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the consolidated financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the consolidated financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the consolidated financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the consolidated financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by management, as well as evaluating the overall presentation of the consolidated financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements present fairly, in all material respects, the financial position of the College of Dental Surgeons of British Columbia as at February 28, 2015 and the results of its operations and its cash flows for the year then ended in accordance with Canadian accounting standards for not-for-profit organizations.



Chartered Accountants

Vancouver, British Columbia May 14, 2015

Smythe Ratcliffe LLP is a member firm of both the PKF International Limited network and PKF North America, which are, respectively, a network and an association of legally independent firms and does not accept any responsibility or liability for the actions or inactions on the part of any other individual member firm or firms

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Consolidated Statement of Financial Position

2014		2015	Year Ended February 28
			ASSETS
			Current
11,208,964	\$	10,906,149	\$ Cash and cash equivalents
1,009,629		3,496,565	Temporary investments (note 4)
45,525		65,975	Accounts receivable
152,722		162,480	Prepaid expenses
12,416,840		14,631,169	
157,243		132,736	Deferred Charges
4,498,712		4,396,215	Capital Assets (note 5)
17,072,795	\$	19,160,120	\$
			LIABILITIES
			Current
593,946	\$	615,989	\$ Accounts payable and accrued liabilities
4,209,605		4,678,840	Due to other professional bodies (note 6)
4,910,099		5,281,352	Deferred revenue
23,370		25,862	Current portion of obligations under capital leases (note 7)
9,737,020		10,602,043	
37,086		7,795	Obligations Under Capital Leases (note 7)
9,774,106		10,609,838	
			NET ASSETS
			Unrestricted
1,394,320		2,316,785	Operating
190,924		301,035	College Place Joint Venture
4,438,256		4,362,558	Invested in Capital Assets
			Internally Restricted
187,646		280,971	Joint Venture Preservation
542,653		550,759	Contingency Reserve
62,403		_	Office Renovations
95,924		43,401	Information Technology
386,563		694,773	HPA Enforcement – Legal
7,298,689	_	8,550,282	
17,072,795	\$	19,160,120	\$

See notes to consolidated financial statements

Approved by the Board:

President

Treasurer

Consolidated Statement of Operations

Year Ended February 28	Unrestri	cted Funds			Interna	Illy Restricted	Funds			
	Operating	College Place Joint Venture	Invested in Capital Assets	Joint Venture Preservation	Contingency Reserve	Office Renovations	Information Technology	HPA Enforcement – Legal	2015	2014
Revenues										
Certification and registration fees	\$ 5,810,533	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 5,810,533	\$ 4,730,330
Application fees	596,660	_	_	_	_	_	-	_	596,660	500,552
Rental	_	563,203	_	_	_	_	_	_	563,203	541,743
Incorporation, facility inspection										
and other	499,336	_	_	_	_	_	_	_	499,336	380,25
Interest and miscellaneous	108,134	-	-	-	8,106	-	-	-	116,240	90,624
	7,014,663	563,203	-	_	8,106	-	_	-	7,585,972	6,243,504
Expenses										
Salaries and benefits	3,402,694	_	_	_	_	_	_	_	3,402,694	3,057,319
General and administrative (schedule	e) 755,246	_	_	_	_	_	_	_	755,246	693,372
Building occupancy (note 8)	_	360,857	_	_	_	_	_	_	360,857	348,639
Meetings and travel	231,811	_	_	_	_	_	_	_	231,811	209,59
Consulting fees	190,768	_	_	_	_	_	_	_	190,768	165,158
Honorariums	184,488	_	_	_	_	_	_	_	184,488	166,878
Professional fees	178,431	_	_	_	_	_	_	_	178,431	122,552
Committees	145,844	_	_	_	_	_	_	_	145,844	144,51
Loss on disposal of capital asset	_	_	34,525	_	_	_	_	_	34,525	
Bad debts (note 8)	-	6,662	_	_	_	_	_	_	6,662	-
Amortization of deferred charges	_	24,507	_	_	_	_	_	_	24,507	27,058
Amortization of capital assets	-	-	306,496	-	-	-	-	-	306,496	297,536
	5,089,282	392,026	341,021	_	_	_	-	-	5,822,329	5,232,624
Restricted Fund Expenses										
HPA Enforcement - Legal	_	_	_	_	_	_	_	544,483	544,483	753,798
Information technology	_	_	_	_	_	_	98,303	_	98,303	99,80
Office renovations	_	-	_	_	-	11,889	-	-	11,889	12,59
	-	_	-	-	_	11,889	98,303	544,483	654,675	866,200
Excess (Deficiency) of Revenues over Expenses for Year	\$ \$ 1,925,381	\$ 171,177	\$ (341,021)	\$ -	\$ 8,106	\$ (11,889)	\$ (98,303)	\$ (544,483)	\$ 1,108,968	\$ 144,680

See notes to consolidated financial statements

Consolidated Statement of Changes in Net Assets

			_						_	
Year Ended February 28	Unrestri	cted Funds			Interna	Ily Restricted	Funds			
	Operating	College Place Joint Venture	Invested in Capital Assets	Joint Venture Preservation	Contingency Reserve	Office Renovations	Information Technology	HPA Enforcement – Legal	2015	2014
Balance, Beginning of Year	\$ 1,394,320	\$ 190,924	\$ 4,438,256	\$ 187,646	\$ 542,653	\$ 62,403	\$ 95,924	\$ 386,563	\$ 7,298,689	\$ 6,950,120
Excess (deficiency) of revenues over expenses for year	1,925,381	171,177	(341,021)	-	8,106	(11,889)	(98,303)	(544,483)	1,108,968	144,680
For capital asset purchases For capital lease repayment Capital adjustments	(79,781) (26,799)	- -	238,591 26,799	- -	- -	(150,514) –	(8,296) –	- -	- -	- -
- Due from joint venturer (note 8) Other capital adjustments Contributions to Joint Venture	- 83,036	27,678 -	- -	- -	- -	- -	-	- -	27,678 83,036	166,748 -
Preservation Interfund transfers	(979,372)	– (88,744)	– (67)	32,568 60,757	-	_ 100,000	- 54,076	- 852,693	32,568 (657)	37,141 -
	922,465	110,111	(75,698)	93,325	8,106	(62,403)	(52,523)	308,210	1,251,593	348,569
Balance, End of Year	\$ 2,316,785	\$ 301,035	\$ 4,362,558	\$ 280,971	\$ 550,759	\$ -	\$ 43,401	\$ 694,773	\$ 8,550,282	\$ 7,298,689

See notes to consolidated financial statements

Consolidated Statement of Cash Flows

Year Ended February 28	2015	2014
Operating Activities		
Excess of revenues over expenses	\$ 1,108,968	\$ 144,680
Items not involving cash		
Amortization of capital assets	306,496	297,536
Amortization of deferred charges	24,507	27,058
Loss on disposal of capital assets	34,525	_
Bad debts	6,662	
	1,481,158	469,274
Changes in non-cash working capital		
Accounts receivable	(91)	(18,243)
Prepaid expenses	(9,758)	(40,448)
Accounts payable and accrued liabilities	22,043	(87,990)
Due to other professional bodies	469,235	(225,345)
Deferred revenue	371,253	703,768
	852,682	331,742
Cash Provided by Operating Activities	2,333,840	801,016
Investing Activities		
Purchase of investments, net	(2,486,936)	(1,000,000)
Purchase of capital assets	(238,524)	(161,989)
Deferred charges	-	(122,711)
Cash Used in Investing Activities	(2,725,460)	(1,284,700)
Financing Activities		
Repayment of capital lease obligations	(26,799)	(45,922)
Capital adjustments	83,036	166,748
Tenant contributions to Joint Venture Preservation	32,568	37,141
Cash Provided by Financing Activities	88,805	157,967
Outflow of Cash	(302,815)	(325,717)
Cash and Cash Equivalents, Beginning of Year	11,208,964	11,534,681
Cash and Cash Equivalents, End of Year	\$ 10,906,149	\$ 11,208,964
Represented by:		
Cash	\$ 10,144,980	\$ 9,191,457
Investment savings accounts	761,169	0
Short-term deposits	0	2,017,507
	\$ 10,906,149	\$ 11,208,964

See notes to consolidated financial statements

Notes to Consolidated Financial Statements

1. NATURE OF OPERATIONS

The College of Dental Surgeons of British Columbia (the "College") was formed to protect the public interest in matters relating to dentistry. The College is governed by the *Health Professions Act* as of April 3, 2009, and, prior to April 3, 2009, the College administered the *Dentists Act*.

The College is a not-for-profit organization established under the *Dentists Act* (1900), and is exempt from income tax under section 149 of the *Income Tax Act*.

2. SIGNIFICANT ACCOUNTING POLICIES

The financial statements of the College were prepared in accordance with Canadian accounting standards for not-for-profit organizations ("ASNPO") and include the following significant accounting policies.

(a) College Place Joint Venture (the "Joint Venture")

The College accounts for its 70% interest in the Joint Venture using the proportionate consolidation method. Under this method, 70% of the Joint Venture's assets, liabilities, revenues and expenses are included in these consolidated financial statements. All transactions between the College and the Joint Venture are eliminated on consolidation.

The Joint Venture follows Canadian accounting standards for private enterprises ("ASPE"). There are no significant differences between ASPE and ASNPO that impact these consolidated financial statements.

(b) Net assets

(i) Invested in capital assets

Invested in capital assets represents cumulative amounts spent on capital assets, net of amounts amortized, less any outstanding debt used to finance capital asset additions. The balance in this account is not available for spending unless the College was to dispose of its capital assets.

(ii) Internally restricted

The Joint Venture Preservation Fund represents amounts set aside for future projects related to the building. This fund is comprised of the Joint Venture's Repairs and Maintenance Reserve Fund and the College's College Place Preservation Fund.

The Contingency Fund represents amounts set aside for contingent events as determined by the College's Board.

The Office Renovations Fund represents amounts set aside for future projects related to the renovation of the College's office space.

The Information Technology Fund represents amounts set aside for upgrades to the College's information technology.

The HPA Enforcement - Legal Fund represents amounts set aside to fund legal and related costs for discipline hearings, judicial reviews of complaints and discipline processes, and investigation and prosecution of illegal practice matters.

All expenditures from internally restricted funds require approval from the Board.

(iii) Unrestricted

Unrestricted net assets represents cumulative excess of revenues over expenses since inception, net of amounts recorded in the above categories, and is segregated between the operations of the College and the Joint Venture.

(c) Cash and cash equivalents

Cash and cash equivalents includes investment savings accounts and term deposits with a maturity period of three months or less from the date of acquisition or those that are cashable at any time.

(d) Deferred charges

Commission costs relating to the leasing of rental units and tenant inducements are amortized over the terms of the leases to which they relate.

Financial Overview

(e) Amortization

Capital assets are recorded at historical cost less accumulated amortization. Amortization is provided over the estimated useful lives of the assets using the straight-line basis at the following annual rates:

Building 25 years 10 years Office renovations Office furniture and equipment 10 years Computer equipment 3 years 3 - 5 years Assets under capital lease

Additions during the year are amortized at one-half the annual rates.

Capital assets are tested for impairment whenever events or changes in circumstances indicate that their carrying amount may not be recoverable.

(f) Leases

The College records leases that transfer substantially all the risks and benefits of ownership to the College as capital leases. The related equipment is capitalized at its fair market value at the time of acquisition and is amortized over its useful life. An obligation is also recorded for the present value of future lease payments, which is reduced as lease payments are made after accounting for the inherent interest portion.

Payments under leases that are not capital in nature are expensed.

(g) Revenue recognition

- (i) Certification and registration fees are recognized as revenue in the fiscal year to which they relate. Deferred revenue represents such amounts received in advance of the year to which they relate.
- (ii) Application fees are recognized as revenue when payment is received.
- (iii) Incorporation, facility inspection and other revenues include incorporation fees, facility inspection fees, administration and reinstatement fees. Incorporation, facility inspection and other revenues are recognized as revenue when services have been rendered and billed.

- (iv) Rents earned through the College's 70% interest in the Joint Venture on a month-tomonth basis are recognized as they become due. Rents from leases with rent steps are accounted for on a straight-line basis over the term of the lease. The difference between the contractual amounts due and the straightline rental revenue recognized is recorded as accounts receivable or payable.
- (v) Interest revenue is recognized based on the passage of time according to the terms of the instrument giving rise to the revenue.

(h) Use of estimates

The preparation of these consolidated financial statements in conformity with ASNPO requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, the disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Significant estimates include the useful lives and rates of amortization of capital assets, accrual of liabilities, and valuation of accounts receivable and capital assets. While management believes these estimates are reasonable, actual results could differ from those estimates and could impact future results of operations and cash flows.

(i) Financial instruments

The College initially measures its financial assets and liabilities at fair value. The College subsequently measures all its financial assets and financial liabilities at amortized cost.

Financial assets measured at cost are tested for impairment when there are indicators of impairment. The amount of the write-down is recognized in excess (deficiency) of revenues over expenses. In the event a previously recognized impairment loss should be reversed, the amount of the reversal is recognized in excess (deficiency) of revenues over expenses provided it is not greater than the original amount prior to write-down.

For any financial instrument that is measured at amortized cost, the instrument's cost is adjusted by the transaction costs that are directly attributable to their origination, issuance or assumption.

These transaction costs are amortized into excess (deficiency) of revenues over expenses on a straightline basis over the term of the instrument. All other transaction costs are recognized in excess (deficiency) of revenues over expenses in the period incurred.

3. FINANCIAL INSTRUMENTS

(a) Credit risk

Credit risk is the risk that one party to a financial instrument will cause a financial loss for the other party by failing to discharge an obligation.

The College's financial assets that are exposed to credit risk consist of cash, accounts receivable and investments. The risk associated with cash and investments is minimized as cash and investments are placed with major financial institutions and an insured credit union. The risk associated with accounts receivable is minimal given the College's history of collecting substantially all of its outstanding accounts receivable within 30 days.

(b) Interest rate risk

Interest rate risk consists of two components:

- (i) To the extent that payments made or received on the College's monetary assets and liabilities are affected by changes in prevailing market interest rates, the College is exposed to interest rate cash flow risk.
- (ii) To the extent that market rates differ from the interest rates on the College's monetary assets and liabilities, the College is exposed to interest rate price risk.

The College is exposed to interest rate price risk on its cash equivalents and investments to the extent the market interest rate differs from the interest rate of the investments.

(c) Liquidity risk

Liquidity risk is the risk that the College will encounter difficulty in meeting obligations associated with financial liabilities.

The College is exposed to this risk mainly in respect of its accounts payable and accrued liabilities and amounts due to other professional bodies. Cash flow from operations provides a substantial portion of the College's cash requirements. Additional cash requirements are provided by the College's reserves.

4. TEMPORARY INVESTMENTS

The investments consist of guaranteed investment certificates held at a chartered bank and an insured credit union. The investments earn interest at 1.39% to 2.00% (2014 – 1.65%) per annum and mature July 10, 2015 to January 14, 2016.

5. CAPITAL ASSETS

	Cost	Accumulated Amortization	2015	2014
Land	\$ 1,223,550	\$ 0	\$ 1,223,550	\$ 1,223,550
Building	4,624,938	2,343,918	2,281,020	2,413,907
Office renovations	1,638,611	1,130,787	507,824	441,913
Office furniture and equipment	868,334	623,650	244,684	239,207
Computer equipment	669,914	564,434	105,480	119,679
	9,025,347	4,662,789	4,362,558	4,438,256
Assets under capital lease	217,405	183,748	33,657	60,456
	\$ 9,242,752	\$ 4,846,537	\$ 4,396,215	\$ 4,498,712

6. DUE TO OTHER PROFESSIONAL BODIES

The amounts due to other professional bodies represents fees collected on behalf of the British Columbia Dental Association and grants payable to CDRAF and CDAC in furtherance of national initiatives in support of the College's regulatory mandate. These amounts are unsecured and non-interest-bearing.

7. OBLIGATIONS UNDER CAPITAL LEASES

The College has various capital leases, with expiry dates ranging from March 2016 to May 2016 and at interest rates of 9.15% to 19.34% per annum.

Future minimum lease payments under the capital leases are as follows:

	\$ 7,795
under capital leases	(25,862)
Current portion of obligations	
lease payments	33,657
Present value of minimum capital	
Amount representing interest	(6,318)
	39,975
2017	7,995
2016	\$ 31,980

8. JOINT VENTURE ACCOUNTING

The Joint Venture was formed to own and operate the property situated at 1765 West 8 Avenue. The title to this property is held in trust by 1765 West 8 Avenue Holdings Ltd. The Joint Venture provides premises for the College and the other 30% participant in the Joint Venture, the College of Pharmacists of British Columbia. The Joint Venture also rents space in the building to third parties.

The following summarizes the financial position and results of the Joint Venture:

		2015				20	2014	
	Enti	re Amount	Col	lege's 70%	Er	ntire Amount	Сс	llege's 70%
Capital assets	\$	5,022,293	\$	3,515,605	\$	5,214,881	\$	3,650,416
Other assets		691,067		483,746		498,649		349,055
Liabilities		(113,460)		(79,422)		(84,814)		(59,370)
Net Assets	\$	5,599,900	\$	3,919,929	\$	5,628,716	\$	3,940,101
Revenues from third parties	\$	963,604	\$	674,523	\$	918,443	\$	642,910
Amortization		(227,599)		(159,318)		(229,019)		(160,313)
Other expenses		(525,028)		(367,520)		(492,268)		(344,587)
	\$	210,977	\$	147,685	\$	197,156	\$	138,010
Cash flows resulting from								
Operations	\$	474,932	\$	332,452	\$	226,733	\$	158,713
Investing		46,524		32,567		(288,844)		(202,191)
Financing		(286,319)		(200,423)		42,069		29,448
	\$	235,137	\$	164,596	\$	(20,042)	\$	(14,030)

The cash requirements of the Joint Venture are met through cash calls as required by the College and co-venturer. Excess cash is distributed to the College and co-venturer as cash flow permits.

Because each venturer's proportionate share of space occupied in the building is not consistent with their proportionate interest in the Joint Venture, the difference between the share of occupied space and interest in the Joint Venture of \$110,714 (2014 \$103,602) is accounted for as a capital adjustment to the Operating Fund in the consolidated statement of changes in net assets.

Consolidated Schedule of General and Administrative Expenses

Year Ended February 28

	2015	2014
Office	\$ 274,915	\$ 305,485
Electronic transaction costs	251,228	192,768
Printing and publications	123,707	132,598
Equipment repairs and maintenance	69,338	38,980
Staff development	31,375	18,455
Miscellaneous	4,683	5,086
	\$ 755,246	\$ 693,372

As of 28 February 2015

REGISTRAR/CEO'S OFFICE

Jerome Marburg, Registrar & Chief Executive Officer

Nancy Crosby, Manager of CEO's Office

Krista Fairweather, Sedation Program Coordinator Adam Swetman, Administrative Assistant

Greg Cavouras, Staff Lawyer & Senior Policy Analyst

COMMUNICATIONS

Anita Wilks, Director of Communications

Bethany Benoit-Kelly, Communications Specialist Jocelyn Chee, Communication and Event Assistant



COMPLAINTS

Carmel Wiseman, Deputy Registrar

Dr. Phil Barer, Complaint Investigator Julie Boyce, Complaints Paralegal Dr. Sigrid Coil, Complaint Investigator Kelly Cornell, Complaint Officer Rachel Gallo, Complaints Paralegal Dr. Chris Hacker, Complaint Investigator Rochelle Herron, Administrative Assistant Lilian Leung, Administrative Assistant, Complaints Stephanie LeSeelleur, Complaint Officer Allison Maharaj, Articling Student Dr. Cathy McGregor, Remediation and Monitoring Dr. Sujay Mehta, Complaint Investigator Dr. Meredith Moores, Complaint Investigator Christina Wong, Complaint Officer Dr. Alex Penner, Complaint Investigator Moninder Sahota, Monitoring and Compliance Officer Michelle Singh, Complaint Officer Dr. Garry Sutton, Early Resolution and Practice Advice Christina Wong, Complaint Officer

FINANCE AND ADMINISTRATION

Dan Zeng, Director of Finance and Administration

Julie Anderson, Receptionist
Karen England, Administrative Assistant
Flora Lee, Accounting Officer
James Spencer, Data and Information Integrator

REGISTRATION AND HUMAN RESOURCES

Róisín O'Neill, Director of Registration & Human Resources

Evelyn Chen, Administrative Assistant
Debbie Minton, CDA Certification Assistant
Leslie Riva, Senior Manager: CDA Certification and
Quality Assurance
Karen Walker, Dentist Registration Officer

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